



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

Commission Meeting 09/30/2020
Agenda Item #4

To: Commissioners
From: Jonathan Wayne, Executive Director
Date: September 22, 2020
Re: Complaint against Annalee Rosenblatt and Dan Warren

The Maine Commission on Governmental Ethics and Election Practices received the attached request for investigation from the Scarborough Democratic Town Committee in connection with a mailing of a letter dated April 27, 2020 by Dan Warren. ETH 3-4. The letter is addressed to Democratic residents (“My Fellow Democrat”) in House District 29. In the two paragraphs of the letter, Mr. Warren states that his purpose is to recruit a registered Democrat to challenge the incumbent State Rep. Shawn Babine for the Democratic nomination in the July 2020 primary election. Mr. Warren goes on to explain why he is working against Rep. Babine’s re-election.

For reasons discussed below, the Scarborough Democratic Town Committee presumes that the Republican nominee in the race, Annalee Rosenblatt, knew about the letter and paid for its postage with her campaign funds. (For a little more than a month, Mr. Warren nominally served as the treasurer of her campaign.) Accordingly, the committee asks the Commission to investigate whether Annalee Rosenblatt violated 21-A M.R.S. § 1014(1) because the letter did not contain a “disclaimer” stating that the letter was paid for and authorized by Ms. Rosenblatt.

Ms. Rosenblatt responds that Mr. Warren had recently resigned from serving as treasurer of her campaign and she did not know about the April 27 letter. ETH 29-31. Mr. Warren responds that by the third week of April 2020, he had concluded that Ms. Rosenblatt could not obtain sufficient support from Democratic voters in Scarborough to win the general election, so he decided to go in a different direction: finding a Democrat willing to be a write-in candidate for the Democratic nomination in the July 2020 primary

election. So, on April 25, 2020, after two months of actively supporting Annalee Rosenblatt, he resigned from her campaign. Mr. Warren states that Ms. Rosenblatt did not know about the April 27 mailing and did not pay for it.

The Commission staff recommends not taking any further action on this complaint that the letter was required to contain a disclaimer statement. The letter was mailed approximately two months before the July 14 primary election. During that time period, the letter was required to contain a disclaimer statement *only if* the letter “expressly advocated” for the defeat of Rep. Babine. The Commission staff is doubtful that the letter meets the definition of express advocacy in the Commission’s rules. Also, the preliminary evidence produced to date suggests that Annalee Rosenblatt did not violate 21-A M.R.S. § 1014(1), because she was not responsible for the letter.

Relevant Law

Disclosure statements in paid communications. If a person makes an expenditure for a paid communication that expressly advocates the election or defeat of a clearly identified candidate and the communication *is* authorized by a candidate or a candidate’s authorized political committee (or their agents), the communication “must clearly and conspicuously state that the communication has been so authorized and must clearly state the name and address of the person who made or financed the expenditure for the communication.” 21-A M.R.S. § 1014(1). ETH-98 Likewise, if a person pays for an express advocacy communication that *is not* authorized by any candidate or any candidate’s political committee, the communication must also state who financed the expenditure and contain a specific disclosure statement (“NOT PAID FOR OR AUTHORIZED BY ANY CANDIDATE”). 21-A M.R.S. § 1014(2).¹ A violation of these requirements may result in a civil penalty of no more than 100% of the amount of the expenditure for the communication. 21-A M.R.S. § 1014(4). ETH-100.

¹ Similar disclosures are required for paid communications that name or depict a specific candidate but do not include express advocacy, if the communication is disseminated in the last 21 days before a primary election or after Labor Day for a general election. 21-A M.R.S. § 1014(2-A). ETH-98.

Express Advocacy. The disclosure requirements set out in the previous paragraph apply only if the communication expressly advocates for the election or defeat of a candidate. The Commission's rules define express advocacy as "any communication that"

- (1) uses phrases such as "vote for the Governor," "reelect your Representative," "support the Democratic nominee," "cast your ballot for the Republican challenger for Senate District 1," "Jones for House of Representatives," "Jean Smith in 2002," "vote Pro-Life" or "vote Pro-Choice" accompanied by a listing of clearly identified candidates described as Pro-Life or Pro-Choice, "vote against Old Woody," "defeat" accompanied by a picture of one or more candidate(s), "reject the incumbent," or communications of campaign slogan(s) or individual word(s), which in context can have no other reasonable meaning than to urge the election or defeat of one or more clearly identified candidate(s), such as posters, bumper stickers, advertisements, etc. which say "Pick Berry," "Harris in 2000," "Murphy/Stevens" or "Canavan!"; or
- (2) is susceptible of no reasonable interpretation other than as an appeal to vote for or against a clearly identified candidate.

94-270 C.M.R. ch. 1, § 10(2)(B). ETH-101.

Request for Investigation by Scarborough Democratic Town Committee

In a letter dated July 22, 2020, Rachel Hendrickson, the chair of the Scarborough Democratic Town Committee requested an investigation into the campaign of Annalee Rosenblatt. ETH 1-2. Ms. Hendrickson presumes that Ms. Rosenblatt paid the postage for Mr. Warren's letter with her campaign funds. Her presumption is based on an expenditure entry in Annalee Rosenblatt's July 6, 2020 campaign finance report. ETH-23. In that entry, Ms. Rosenblatt stated that on March 23, 2020 she paid \$137.50 for postage in connection with a "Letter from DW." Based on this entry, Ms. Hendrickson writes "It appears that Rosenblatt was aware well in advance that Warren was going to write and send out [the April 27] letter." ETH-2. Accordingly, the Scarborough Democratic Town Committee concludes that Annalee Rosenblatt violated 21-A M.R.S. § 1014(1) because the letter contains no disclaimer statement that Ms. Rosenblatt paid for and authorized the letter.

Response by Annalee Rosenblatt

Ms. Rosenblatt responds that she had no knowledge of Dan Warren's letter and did not authorize it. ETH-29. Further, she states that Mr. Warren had resigned two days before the date of the letter. To document the resignation, she attached two emails from Dan Warren dated April 25, 2020. ETH 30-31. In the second email (confirming his resignation), Mr. Warren states that he could not gain support for her among Democratic voters due to their opposition to President Donald Trump and his handling of the COVID-19 crisis. ETH-31.

Response by Dan Warren

Mr. Warren provided a detailed response in the form of a 13-page letter plus attachments. ETH 34-93. The letter describes the unusual evolution of his efforts to find a successful challenger who could unseat Rep. Babine. From the Commission staff's point of view, the most relevant points of Mr. Warren's response are:

- In January-February 2020, Mr. Warren attempted to recruit a Democrat to challenge Shawn Babine by qualifying for Democratic primary election ballot. ETH-42.
- After not finding someone, on February 23, 2020, he met in person with Annalee Rosenblatt and encouraged her to run. She decided to run soon after. ETH-42.
- For approximately two months (late February to late April), he worked hard to gain support for Ms. Rosenblatt by contacting people he knew through work and in the community. ETH 42-43.
- Beginning on Feb. 23, these efforts included writing notes and letters to friends about Ms. Rosenblatt, which he copied and mailed at his own expense. He wrote a biographical sketch of her background in Scarborough which also explained why he was supporting her. He mailed this in late February and early March. ETH-43.
- After she qualified for the ballot on March 15, he wrote a more detailed press release with the candidate's assistance (Exhibit 10 to his letter), which he also mailed. ETH-43, 85.

- Mr. Warren agreed to serve as Ms. Rosenblatt’s treasurer on March 16, the day after she qualified for the ballot. (This date seems slightly at odds with the March 11 date of Ms. Rosenblatt’s registration.) ETH-42, 96.
- At some point in early March, Annalee Rosenblatt mentioned to Mr. Warren that she wanted to “pay him back” for his expenses in mailing notes and letters. His recollection is that she gave him some envelopes or postage stamps. In addition to reimbursing him for past costs, the supplies could be used to cover any other mailings of her press release in March. ETH-43, 47.
- After the coronavirus crisis emerged, he concluded that Ms. Rosenblatt would not be able to obtain sufficient Democratic votes to win in the Nov. 3 election. He met with her in person on April 25, 2020, told her that he would be going in a different direction, and he resigned from her campaign.² ETH-44.
- On April 25, Mr. Warren sent an email to an active Democrat in Scarborough letting her know that he had resigned from Ms. Rosenblatt’s campaign and would be seeking a Democratic write-in candidate. ETH-44, 86.
- On April 27, he typed the letter. He photocopied the letter on his copy machine, purchased 1,000 envelopes at Staples, and used a personal check to purchase 1,000 fifty-five cent stamps for \$550. ETH-35, 44. He documented the expenditure by including a handwritten entry from his checkbook register. ETH-89. He addressed the envelopes by hand. During May 6-11, he mailed it to 1,000 of his fellow Scarborough Democrats in the district. ETH-35, 44.
- The only reason he sent the letter was “to get a good Democratic write-in candidate.” He included his contact information and stated that he hoped to hear back from someone willing to run. If he had known the effort would fail, he would not have sent the letter. ETH-47.
- Annalee Rosenblatt did not know about the April 27 letter. Mr. Warren had no reason to show the letter to her. He had just resigned from her campaign and they had “cut ties.” ETH 46-47.

² In his April 25 email provided by Ms. Rosenblatt (ETH-31), Mr. Warren makes reference to following up on a meeting with the candidate on Friday. This suggests that the face-to-face meeting may have occurred on April 24, which was a Friday, rather than April 25.)

- Annalee Rosenblatt’s expense of \$137.50 on March 23, 2020 had nothing to do with April 27 mailing. At that time, he was enthusiastically supporting her. That was an expense by Ms. Rosenblatt to pay him back with supplies for his mailings in support of her. ETH-47.

Registrations and Campaign Finance Reports filed by Annalee Rosenblatt

Annalee Rosenblatt registered with the Commission on March 4, 2020, and identified Matthew Rosenblatt as her treasurer. ETH 94-95. One week later, on March 11, 2020, she amended her registration to list Dan Warren as her treasurer. ETH 96-97. On May 15, 2020, Ms. Rosenblatt called our office and indicated that Mr. Warren was unable to serve as her treasurer. It is unknown whether he actually performed any treasurer responsibilities on her behalf.

Candidates have 10 days to report any change in the registration information to the Commission. 21-A M.R.S. § 1013-A(5). Based on the April 25 date in Mr. Warren’s resignation email, it appears that Ms. Rosenblatt was about 10 days late in amending her registration, which is not unusual behavior for candidates.

Ms. Rosenblatt filed two campaign finance reports with the Commission in July and August. Mr. Warren had no apparent involvement in filing those reports.

Staff Analysis and Recommendation

Title 21-A, section 1014 requires certain paid communications referring to political candidates to contain a disclosure message, sometimes referred to as a “disclaimer statement.” If required, the disclaimer statement must include:

- the name and address of the person who made or financed the communication, and
- a statement whether the candidates in the race authorized the communication.

Express advocacy is a *narrow* standard that is also used in the independent expenditure reporting statute. 21-A M.R.S. § 1019-B(1)(A). In Chapter 1, section 10(2)(B) of the Commission rules, the Commission interprets the term “expressly advocate” to mean:

- (1) Particular phrases commonly found in election literature, advertising, signs and bumper stickers that are clearly urged (Vote for the Governor, Jones for House of Representatives) communications of campaign slogan(s) or individual word(s), which in context can have no other reasonable meaning than to urge the election or defeat of one or more clearly identified candidate(s), such as posters, bumper stickers, advertisements, etc. which say "Pick Berry," "Harris in 2000," "Murphy/Stevens" or "Canavan!"; or
- (2) is susceptible of no reasonable interpretation other than as an appeal to vote for or against a clearly identified candidate.

94-270 C.M.R. ch. 1, § 10(2)(B). ETH-101.

In this matter, the Commission staff recommends taking a conservative approach and strictly applying the express advocacy standard to Mr. Warren’s April 27 letter. We are doubtful that the letter should be viewed as expressly advocating Rep. Babine’s defeat. ETH 3-4. The letter does not contain the explicit phrases or slogans urging a vote against Rep. Babine, as described in paragraph B(1) of the rule (*e.g.*, “Vote against Rep. Babine” or “Save Integrity – Anybody but Babine.”). We believe the letter also does not meet the condition in paragraph B(2). The letter *does* have a reasonable interpretation other than as an appeal to vote against Shawn Babine. That reasonable interpretation is to recruit a Democrat to run against Rep. Babine in the July 14 primary election.

We understand that the April 27 letter was intended by Mr. Warren to ultimately lead to the Rep. Babine’s defeat. That consideration, however, is not the express advocacy test. We recommend applying the express advocacy standard to the objective words in the communication.

Supporters of Shawn Babine may be skeptical of Mr. Warren’s factual account. His narrative, however, is supported by two contemporaneous emails: (1) the April 25 resignation email provided by Annalee Rosenblatt (ETH-31), and (2) Mr. Warren’s April 25 email to a Democratic voter in which he both confirmed his resignation and intention to “focus his efforts” on finding a Democratic write-in candidate. ETH-86. The Commission staff does not recommend further investigation because it seems unlikely that it will uncover contradictory evidence indicating Annalee Rosenblatt was responsible for Mr. Warren’s April 27 mailing.

At the Sept. 30 meeting, you may hear the argument that Mr. Warren’s April 27 mailing should be attributed to Ms. Rosenblatt because her candidate registration on file with the Commission at the time of the mailing listed Mr. Warren as treasurer. (On May 15, 2020, Ms. Rosenblatt called our office to say that Mr. Warren was unable to serve as her treasurer.) The Commission staff recommends against this view. We think the evidence currently available supports the conclusion that Mr. Warren removed himself from Ms. Rosenblatt’s political committee on April 25, 2020. That evidence rebuts any inference or presumption that Mr. Warren should be viewed as part of her political committee during May 6-11, when he mailed the April 27 letter.

Because the submissions in this matter are lengthy and contain some duplication, below is an index of the attached written materials for this agenda item:

Complaint	ETH 1-24
Wayne letter to Annalee Rosenblatt	ETH 25-28
Ms. Rosenblatt’s response	ETH 29-31
Wayne letter to Dan Warren	ETH 32-33
Mr. Warren’s response	ETH 34-93
Rosenblatt’s candidate registrations	ETH 94-97
Disclaimer statute	ETH 98-100
Express advocacy definition	ETH 100-101

Thank you for your consideration of this memo.

Ethics Commission
135 State House Station
Augusta, ME 04333
Attn: William Lee III



July 22, 2020

Honorable Commissioners,

The Scarborough Democratic Town Committee hereby requests an investigation into the campaign of Annalee Rosenblatt, candidate for HD29. On April 27, 2020, the campaign treasurer, Daniel Warren, sent a mailing to numerous Scarborough Democrats expressly advocating for the defeat of her opponent, Rep. Shawn Babine. This scurrilous communication was signed by Warren, but with no disclosure that he was Rosenblatt's treasurer. In her 11 day Pre-primary report, Rosenblatt noted an expense by "DW" for postage expenses connected with that letter. We believe the letter and her campaign actions violate Section 1014.1 of Title 21-A.

In support of our contention, I call your attention to the following (and attached) documents:

April 27 letter from Dan Warren to "Fellow Democrat". The letter purports to be an attempt by Warren to solicit a Democrat to run in HD29 as a write in candidate (paragraphs 1 and 11 – first and last). The letter explicitly says "I believe Mr. Babine is unfit to hold the office of State Representative, or any publicly elected office. I registered to vote as a Democrat in 1975, shortly after graduating from Scarborough High School. This is the first time in 45 years I have actively worked against the election of a Democrat." Warren is expressly attempting to defeat Babine by seeking a Democrat to run against him, while he (Warren) is functioning as the Treasurer of Rosenblatt's campaign. See the candidate registration form dated March 11 (Marked 1), indicating that Warren was the campaign treasurer. He held that post until May 15 (see the candidate registration form marked 2) That letter did not indicate that Warren was attached to the Rosenblatt campaign. Seeking that mythical Democratic candidate was a pretext to smear candidate Babine and sow confusion among voters.

Warren does not reference Rosenblatt, simply smears the Democrat. He does not indicate he is the campaign Treasurer of Babine's opponent's campaign. Rosenblatt has no clear and conspicuous notice on the letter that it was authorized by her campaign. Likewise, there is no notice that it was NOT authorized by the candidate. This would lead the recipients to assume that Warren was writing as an individual.

On March 23, 24, 25 and 29, Warren's Facebook postings show that he was already engaged in Rosenblatt's campaign having become her treasurer on March 11. Copies attached; relevant wording highlighted. In addition to the original screen shots, I have attached copies for ease of reading and because of my lack of skill in getting clear screenshots.

In these FaceBook posts, Warren referred to himself as “working” for her and supporting her, and telling Shawn “I would actively seek his defeat at the polls because I did not believe he was fit to serve the Scarborough public.” (March 24 FB) That sentence is a precursor to the one highlighted in the April 27 letter.

I have attached a copy of the letter, with its envelope, given to me by Miriam Congdon, the recipient. The envelope return address is the one on the letter – Warren’s personal and professional PO Box.

On 7/6/2000 Candidate Rosenblatt filed the 11-Day Pre-Primary Report. On Schedule B, there is a notation dated 3/23/20 of an expense of \$137.50 for the US Post Office. The remark sates “Letter from DW”. It appears that Rosenblatt was aware well in advance that Warren was going to write and send out a letter. There is another expense on the same date for envelopes from Staples. In the absence of a notation for printing, one is led to assume that Warren printed the letters himself, although there is no record of any in-kind contribution for that service.

Rosenblatt, in her 11-Day filing claimed the April 27 letter as coming from her campaign. It was written and sent by her Treasurer. It expressly advocated the defeat of her opponent, both in explicit wording and in the whole context of the letter – an attempt to recruit a Democratic write-in candidate to run against and defeat Babine in the primary. The letter contained no identification as coming from her campaign. We believe this is a violation of MRSA 21-A, 1014.1.

Should you need to reach me, my home phone is 207-510-1903. My cell is 207-210-5953. Thank you for your consideration.



Rachel Hendrickson, Chair, Scarborough Democratic Town Committee

2 Plantation Dr.

Scarborough, ME 02074

April 27, 2020

P.O. Box 1
144 Spurwink Road
Scarborough, Maine 04070

Subject: Maine House of Representatives, Scarborough coastal region, District 29

Dear Fellow Democrat:

I am writing to you today to ask if you would consider running as a Democratic Party write-in candidate for the Maine House of Representatives seat in the part of Scarborough where we both live. The official "House district" is called District 29. The Democratic primary election is July 7, 2020, a Tuesday.

We have a troubling situation on our hands in Scarborough. Our current representative to the Legislature, Shawn Babine, has for several years now shown a pattern of poor decision-making, selfishness, and a serious problem with alcohol. I will provide you with detailed information below to support these troubling allegations.

Mr. Babine served as a volunteer bartender at the Libby-Mitchell American Legion Post 76 cash bar, and then as paid Business Manager at the Post from approximately 2014 to 2016. He handled the twice-weekly bank deposits to TD Bank North, and other Post business matters. He left amid accusations of financial mismanagement. On July 26, 2019, Post Commander Phil Ceaser and Past Commander David Dolloff, of the Post's Executive Committee, wrote Babine a letter banning him from using the Libby-Mitchell name "in any political literature of any type." This act of separation was unprecedented. Political representatives at all levels are routinely praised by Legion members. If you would like a copy of this letter, please let me know.

As the General Manager of the Libby-Mitchell youth baseball team for 20 years, I had occasion to observe Mr. Babine in his role as Business Manager.

Rep. Babine has shown a frequency of public intoxication. He can be seen often at various 501c3 organizations in southern Maine (Portland Eagles; Moose; Elks) and at local pubs, drinking to excess—and then calling home to get a ride. On June 3, 2015, Babine, then an elected member of the Scarborough Town Council, showed up visibly intoxicated for a Town Council meeting. He was "dropping F bombs," witnesses said, and the Council video recorder was shut off. Babine was called into an administrator's office, told he had driven drunk to the Council meeting, that he was not going to be allowed to drive home drunk, and to call his wife for a ride.

Page two

Fellow Councilors had to assist Babine to the parking lot, they told me, because he was "too drunk to walk."

That night of June 3 2015, , Rep. Babine sought—and received—preferential treatment from discipline due to being an elected public official. The minutes of the 6/3/15 Council meeting falsely claim he left the meeting "due to illness."

Babine, who has been elected to the Scarborough Council three times over 15 years—and each time resigned the office mid-term—served as director of Dirigo Boys State from 2017 to 2019. Boys State is a program, run by the Maine American Legion each summer in Waterville, that teaches young boys the importance of patriotism, community involvement and such values as honesty, dedication and loyalty. Last year, as a member of the national and local Sons of The American Legion, I petitioned the state Legion to terminate Babine from that summertime Dirigo part-time position. The Legion held a meeting, and removed Babine on Nov. 17, 2019. Let me know if you would like additional information on the action.

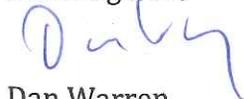
I believe Mr. Babine is unfit to hold the office of State Representative, or any publicly elected office. I registered to vote as a Democrat in 1975, shortly after graduating from Scarborough High School. This is the first time in 45 years I have actively worked against the election of a Democrat. It is a sad chapter in my life.

My father was a Navy pilot in World War II. When I returned to my hometown of Scarborough in 1983 to set up my law practice, he asked if I would get involved in the Libby-Mitchell American Legion Post 76 in Scarborough. I did. Organizing the Memorial Day parade float each May for the Post, and Scarborough Little League teams remains one of my fondest memories of my 37 years with the Post.

Growing up in Scarborough, America's veterans were a part of all school and sports activities. My classrooms K-12 benefited from visits from veterans of D-Day, Iwo Jima, Guadalcanal, the Korean War, and Vietnam. I served Scarborough in the Maine House of Representatives from 1984—88. . I was honored to be elected after that to a term on the Scarborough Town Council.

I have contacted Rep. Babine on four occasions since last summer about his conduct. He has not responded to detailed letters, phone calls, or requests for in-person meetings. Thank you very much for your time and consideration. I look forward to hearing from you, and hope a good and qualified citizen will step forward to run as a Democratic write-in candidate for the Maine House of Representatives on July 7.

Best Regards,



Dan Warren

Email: 1975Harvey@gmail.com

207-289-8216

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SC. MAINE FBBC 040

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Delivering to



FOREVER / USA

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Commission on Governmental Ethics and Election Practices
Mail: 135 State House Station, Augusta, Maine 04333
Office: 45 Memorial Circle, Augusta, Maine
Website: www.maine.gov/ethics
Phone: 207-287-4179
Fax: 207-287-6775

2020 REGISTRATION: CANDIDATE

CANDIDATE	
Ms. Annalee Z Rosenblatt 18 Tall Pines Road Scarborough, ME 04074 Party Affiliation: Republican Office Sought: Representative/29 District or County: STATE Financing Status: Privately Financed	Public: (207) 883-9025 Fax: (207) 883-2257 Email: azr5209@aol.com
TREASURER	
Dan Warren PO Box 1 Scarborough, ME 04070-0001	Public: Fax: Email: 1975harvey@gmail.com
DEPUTY TREASURER	
POLITICAL COMMITTEE	
COMMITTEE OFFICERS	
CERTIFICATION	
I, Ms. Annalee Z Rosenblatt, certify that the information in this registration is true, accurate, and complete.	
SIGNATURE	Date: March 11, 2020

MAKING A FALSE STATEMENT UNDER OATH OR AFFIRMATION IS A CLASS D CRIME (17-A M.R.S.A. § 452).

Filed Date 3/11/2020
Amended Date:

Candidate Registration



2

Commission on Governmental Ethics and Election Practices
 Mail: 135 State House Station, Augusta, Maine 04333
Office: 45 Memorial Circle, Augusta, Maine
 Website: www.maine.gov/ethics
 Phone: 207-287-4179
 Fax: 207-287-6775

2020 REGISTRATION: CANDIDATE

CANDIDATE	
Ms. Annalee Z Rosenblatt 18 Tall Pines Road Scarborough, ME 04074 Party Affiliation: Republican Office Sought: Representative/29 District or County: STATE Financing Status: Privately Financed	Public: (207) 883-9025 Fax: (207) 883-2257 Email: azr5209@aol.com
TREASURER	
Matthew Rosenblatt 18 Tall Pines Road Scarborough, ME 04074	Public: Fax: Email: roseypuck@yahoo.com
DEPUTY TREASURER	
POLITICAL COMMITTEE	

COMMITTEE OFFICERS	
CERTIFICATION	
I, Ms. Annalee Z Rosenblatt, certify that the information in this registration is true, accurate, and complete.	
SIGNATURE	Date: May 15, 2020

MAKING A FALSE STATEMENT UNDER OATH OR AFFIRMATION IS A CLASS D CRIME (17-A M.R.S.A. § 452).

Filed Date: 3/11/2020
 Amended Date: 5/15/2020

Candidate Registration

Dan Warren Posts – copies directly from his FB page.

Dan Warren FB post, March 23 (copied)

Every challenge we go thru in life, such as coronavirus, provides a chance for introspection and growth. For me, it has resulted in a decision I've never made in 45 years—working for the state election of a Republican. A lifetime ardent Democrat, I am unable to abide by party politics and support the Democratic incumbent in Scarborough for Maine House of Representatives, District 29 (the so-called “coastal district” of Scarborough) . I'm going to do what is right in my heart— and work for my friend of 35 years, Annalee Rosenblatt. I hope you'll join me in supporting this exceptionally good human being for our seat in the Maine Legislature.

March 24

The outpouring of support received for Annalee Rosenblatt's campaign for the Maine House of Representatives from Scarborough since my Facebook post yesterday has been overwhelming—and from a variety of sources. No. 1 is Mothers Against Drunk Drivers. MADD has been the most moving and emotional. Several members of MADD nationally have contacted me asking to help. (In the photo here is one of several car crash scenes that one MADD member sent me to remind us all of what happens when a known drunk driver is allowed to operate frequently on our highways. To the right of the photo is a sample of a hard liquor bottle, available for purchase and stores, with a “handle” on it—of the type of that State Rep. Shawn Babine turned into 24 oz. drinking “mugs” three years ago in what he thought was an amusing fundraiser. There is more information on that below. [REDACTED]).

You deserve to know why MADD members are so interested in supporting Annalee Rosenblatt for the Legislature, and why they are so opposed to incumbent Democratic representative Shawn Babine. [REDACTED]

Here is the background factual information.

On June 3, 2015, State Rep. Babine — then an elected member of the Scarborough Town Council— showed up, inebriated, at a Scarborough Town Council meeting. I was required to interview seven witnesses to that evening's events as part of my efforts [REDACTED] to document what happened, so I could inform the state American Legion and others.

The headline of the evening was that Councilor (now State Rep.) Babine went into the Council chambers, “dropping F bombs,” and the video recorder that records all such public meetings in Scarborough was abruptly shut off. Councilor Babine was called into a private office, was told that he had “driven to the meeting drunk”— and was “not going to be allowed to drive home drunk.” Babine was instructed to call his wife. He did. One of his fellow councilors told me she had to help Babine out to the parking lot to his wife's vehicle that night because “he was too drunk to stand up and walk.”

Another witness told me he had watched Babine stumble into the meeting from the parking lot. “He had to prop him self up on several cars along the way, the way you do when you have an icy parking lot,” the witness told me. [REDACTED]

As part of the evening's events, and the follow up, Babine requested—and received —preferential treatment from any action being taken against him, on the grounds that he was an elected public official in Scarborough. [REDACTED]

This was stunning to learn. MADD members were also shocked.

“We has been told he had been charged with OUI, and that the jury somehow acquitted him,” one said to me yesterday. Not true, I informed them. No action, administrative or otherwise, was ever taken against Councilor (now-State Rep.) Babine for his conduct.

(Further background— Babine has run for Scarborough Town Council three times, gotten elected all three times, and resigned in the middle of the term each time. His latest resignation was in 2019.). Meanwhile, Rep. Babine had served as the director of the Maine Dirigo Boys State program, run by the state American Legion each summer, for two years, the last one in 2019. Babine was suddenly terminated from that position on Nov. 17, 2019.

The Libby-Mitchell American Legion Post 76 in Scarborough is an organization I have volunteered with for 37 years. I was asked by my father, a World War II Navy Pilot, to get involved in 1983, the first year I opened the law practice in Scarbrough, my home town. He asked me if I would “help out the boys at the Legion” with any projects they needed assistance with. I soon became a member of the national and local Sons of Legion program.

In 2017, I concluded 20 years as general manager and one of the coaches for the youth baseball team run by the Libby-Mitchell Post. In the last two years, I got involved again with American Legion baseball efforts, this time donating all of the baseball equipment that I had at a field at my house to the Legion so it could be set up in back of the Post building and used by my friend Rick Libby, who has been coaching the Scarboro seventh grade boys team 3 years. We have worked closely with Commander Phil Ceaser and Past Commander Dave Dolloff on this youth baseball project.

The Dirigo Boys State program has always been near and dear to my heart. It is a program that teaches teenaged boys in Maine about leadership, civic involvement, character and integrity.

My father was one of 10 veterans/“signatories” statewide in the early 1950s who signed paperwork officially incorporating the Dirigo organization. [REDACTED]

When I concluded my investigation in July 2019 about Babine’s activities; his public drunkenness on several occasions; the repeated times that I and others have seen him driving intoxicated in Scarborough, weaving over lines, taking unnecessarily broad turns onto streets to avoid hitting curbs; and resignations, I was astounded to learn that he was the head of the Dirigo program.

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(If this type of conduct is what the Democratic Party is seeking for its “rising stars,” I am heartbroken. And If I am required to “honor” my political party by dishonoring my town and state, that I will not do. This is a sad chapter we are forced to confront.

MARCH 25

This is the saddest post I have ever done on Facebook. But Scarborough voters deserve to know the truth, all of it.

An item I did on Facebook two days ago announcing my support for the first Republican I have ever worked for in 45 years, Annalee Rosenblatt, brought a torrent of supportive phone calls and emails from veterans —offering to help her. They are veterans of all political parties. They are from Scarborough and all over the state.

This jubilation, however, was tempered by the fact that some of that support originated from the anger of veterans over the exploitation by State Rep. Shawn Babine— while paid Business Manager of the Libby- Mitchell Post in Scarborough—of that position of trust with senior citizens.

Today, as part of this Facebook post, there is a photograph here of two different documents. The photo on the left is of a July 26, 2019 letter from the Libby-Mitchell Post to Rep. Babine — prohibiting him from ever using the Post name in any political materials of any kind.

The photo on the right is the one-page Minutes of the Meeting of the June 3, 2015 Scarborough Town Council meeting at which Babine, then an elected member of the Town Council, showed up visibly intoxicated, was told he was not going to be allowed to drive home drunk— then sought (and received) preferential treatment as an elected official to escape any punishment. The

minutes say “Councilor Babine left due to illness.” That is simply not true. He left because he was too drunk to stay and act in a civilized manner. Seven different witnesses confirm this. (Some background on Babine: Approximately eight years ago, Rep. Babine approached the Scarborough American Legion Post and asked if he could volunteer. Because had no connection to the Post, most of us thought his request was odd. But the senior-citizen leaders of the Post—towering giants who had been at Omaha Beach, Guadalcanal, Iwo Jima, Pork Chip Hill, Korea, Vietnam, Iraq, and Afghanistan—were thrilled to have some “new blood.”).

Rep. Babine began as a bartender at the Legion cash bar, then volunteered to do bookkeeping, and make the weekly cash bank deposits. He was eager to help with the handling of cash from the bar, and cash in the gambling vending machine at the Post.

Soon, Babine sought to be hired as paid Business Manager. When he requested a paying job, he confessed to the Post that he had a sketchy history of employment.

“I have worked as a cashier at Sam’s Club for awhile,” he said. “I was a mortgage broker in Scarborough for awhile. I was the representative of my college fraternity to the national chapter for a period of time. I can’t seem to hold a job more than 3 to 6 months. I never seem to get a fair shake from employers.”

The Legion members were sympathetic and willing to give him an opportunity they hired him. They trusted him.

(BTW—In my 20 years running the Libby-Mitchell Post 76 American Legion baseball program with Scarborough sports legend Rick Libby, our team storage closet for baseballs and scorebooks was on the second floor of the Legion Hall—across the room from a “confidential office” Babine persuaded Legion members to allow him to set up. Babine and I were often at the Post at the same time, though I have never met him...).

After the election of a new commander approximately three years ago, Babine was no longer involved in any activities at all at the Post. That status remains to this day.

When Babine was elected to the state legislature in November 2018, as a result of the so-called “blue wave” for him—and other members of my Democratic Party nationwide—he began telling people in Augusta of his “great love” for the American Legion and the Libby-Mitchell Post 76.

Word got back to the Post. The Post was outraged at his deceit.

The result was a letter from Commander Phil Ceaser and Past Commander David Dolloff to Babine, stating: “Dear Rep. Babine: “This letter is to formally request that you not mention the Libby-Mitchell American Legion Post 76 in any political literature” of any type at any time. The action is unprecedented. It floors me to read, to this day.

If you grew up in Scarbrough in the 1960s and 70s, many of your role models were World War Two veterans. My father was a Navy pilot. Ken Dolloff was at D-Day. Two others involved in Scarbrough baseball, Sonny Noel and Luther Small, were also at Omaha Beach on June 6, 1944.

Commander Phil Ceaser is a Gulf War veteran. Past Commander David Dolloff was a soldier in Vietnam. Gene Gutter was a WWII POW. All threw out ceremonial first pitches at our Legion baseball games. The players met them. Coach Rick Libby insisted on it.

There were dozens and dozens more Scarborough veterans we all looked up to, admired, and whose sacrifice we knew made us free—and citizens of the greatest country on the face of the earth.

(Scarborough resident Mark Dyer has written a wonderful history of many of these veterans. Rodney Laughton and Bruce Thurlow have featured veterans’ stories at our Scarborough

Historical Society regularly. “We stand on the shoulders of those who have come before us,” I heard Rodney tell Middle Schoolers one day at a Library presentation).

To watch somebody, such as Rep. Babine, wriggle their way into a position of trust with older men in their 70s and 80s, and then abuse^[REDACTED] that trust was as sad, as it was shocking.

Most of the members of the Post were embarrassed, and did not like talking about it. Some were angry.

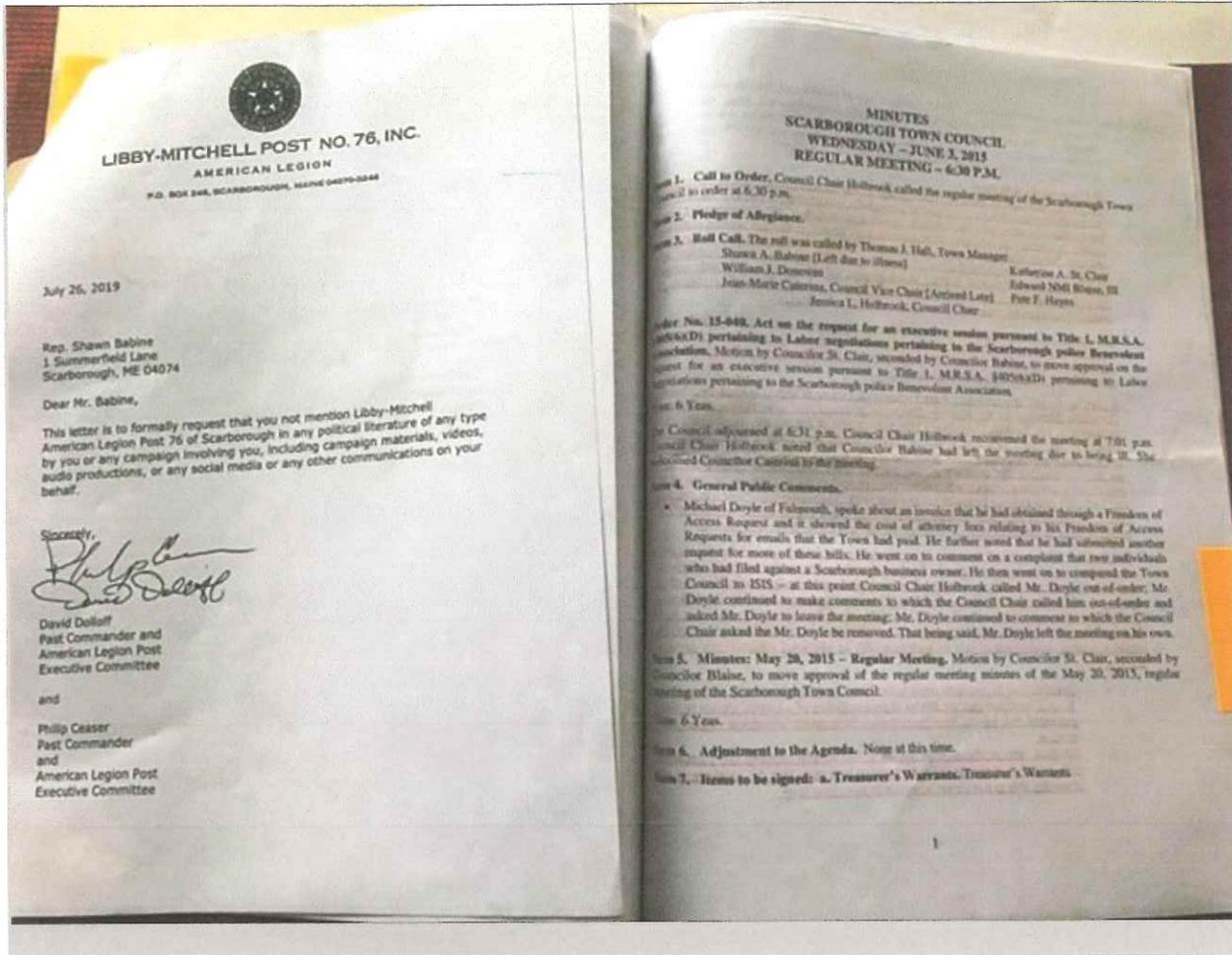
Little did they know when they hired him they were making a mistake.

Today, in addition to being a part-time member of the Maine Legislature, Rep. Babine is an Uber driver.

(Sadly, his political allies in Scarborough, make light of the fact that Babine, whose voluminous drunk-driving^[REDACTED] events are well known in Scarborough, is now in a position where his job has him driving into the city of Portland at 2 AM and picking up people who are too intoxicated to drive. Their humor is a disgrace to our Town , and the Maine Legislature).

This combination of bad activities by Rep. Babine caused the state American Legion on Sunday Nov. 17, 2019, to terminate him from his position as director of the Dirigo Boys State program. These bad actions—including running for Scarborough Town Council three times, getting elected each time, and resigning each time midterm, —should cause Scarboro voters also to vote him out of office from his position as State Representative for Maine House of Representatives, District 29 (the so-called “coastal district” of Scarborough).

I will be working as hard as I can the next eight months to elect my friend Annalee Rosenblatt, a Republican, to that State Representative position, and—in doing so, restore the traditions of dignity, integrity, honesty and^[REDACTED] reliability in my hometown of Scarborough. I urge you to join me in this vital quest.^[REDACTED]



8181
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[March 29](#)

The disgraceful saga of State Rep. Shawn Babine is a stark reminder of the Edmund Burke quote—"The only thing necessary for the triumph of evil is for good people to do nothing." Rep. Babine, enabled by many in Augusta and Scarborough, has scourged our town with (e.g.)—frequent drunk driving; embarrassing public drunkenness (including the June 3, 2015 Town Council meeting—and a request for "preferential treatment" from sanctions~~0001~~); a financial performance as Libby- Mitchell Post 76 treasurer that got him banned for life; 3 resignations from 3 separate Council terms; and his 2019 removal as Dirigo Boys State director. Legislative leaders from my Maine Democratic Party (all briefed by me) have enabled Babine, and provided him "cover." Political allies have exploited Babine, declined to urge him to get help, or job training; and were silent in 2015 on the biggest Town Hall scandal in 20 years. It is time for Scarborough voters to say—ENOUGH. A sad time for my home town.... Annalee Rosenblatt is the first Republican I have ever worked for in my life. She will bring back honor, intelligence, and integrity to the Maine House of Representatives. (Video)

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Rachel Home Create

76



Scarboro Dan

2 hrs ·

The outpouring of support received for Annalee Rosenblatt's campaign for the Maine House of Representatives from Scarborough since my Facebook post yesterday has been overwhelming—and from a variety of sources. No. 1 is Mothers Against Drunk Drivers. MADD has been the most moving and emotional. Several members of MADD nationally have contacted me asking to help. (In the photo here is one of several car crash scenes that one MADD member sent me to remind us all of what happens when a known drunk driver is allowed to operate frequently on our highways. To the right of the photo is a sample of a hard liquor bottle, available for purchase and stores, with a "handle" on it—of the type of that State Rep. Shawn Babine turned into 24 oz. drinking "mugs" three years ago in what he thought was an amusing fundraiser. There is more information on that below.)

You deserve to know why MADD members are so interested in supporting Annalee Rosenblatt for the Legislature, and why they are so opposed to incumbent Democratic representative Shawn Babine.

Here is the background factual information.

On June 3, 2015, State Rep. Babine — then an elected member of the Scarborough Town Council—showed up, inebriated, at a Scarborough Town Council meeting. I was required to interview seven witnesses to that evening's events as part of my efforts to document what happened, so I could inform the state American Legion and others.

The headline of the evening was that Councilor (now State Rep.) Babine went into the Council chambers, "dropping F bombs," and the video recorder that records all such public meetings in Scarborough was abruptly shut off. Councilor Babine was called into a private office, was told that he had "driven to the meeting drunk"—and was "not going to be allowed to drive home drunk." Babine was instructed to call his wife. He did. One of his fellow councilors told me she had to help Babine out to the parking lot to his wife's vehicle that night because "he was too drunk to stand up and walk."

Another witness told me he had watched Babine stumble into the meeting from the parking lot. "He had to prop him self up on several cars along the way, the way you do when you have an icy parking lot," the witness told me. As part of the evening's events, and the follow up, Babine requested—and received —preferential treatment from any action being taken against him, on the grounds that he was an elected public official in Scarborough.

This was stunning to learn. MADD members were also shocked.

"We has been told he had been charged with OUI, and that the jury somehow acquitted him," one said to me yesterday. Not true, I informed them. No action, administrative or otherwise, was ever taken against Councilor (now-State Rep.) Babine for his conduct.

(Further background— Babine has run for Scarborough Town Council three times, gotten elected all three times, and resigned in the middle of the term each time. His latest resignation was in 2019.)

Meanwhile, Rep. Babine had served as the director of the Maine Dirigo Boys State program, run by the state American Legion each summer, for two years, the last one in 2019. Babine was suddenly terminated from that position on Nov. 17, 2019.

The Libby-Mitchell American Legion Post 76 in Scarborough is an organization I have volunteered with for 37 years. I was asked by my father, a World War II Navy Pilot, to get involved in 1983, the first year I opened the law practice in Scarborough, my home town. He asked me if I would "help out the boys at the Legion" with any projects they needed assistance with. I soon became a member of the national and local Sons of Legion program. In 2017, I concluded 20 years as general manager and one of the coaches for the youth baseball team run by the Libby-Mitchell Post. In the last two years, I got involved again with American Legion baseball efforts, this time donating all of the baseball equipment that I had at a field at my house to the Legion so it could be set up in back of the Post building and used by my friend Rick Libby, who has been coaching the Scarboro seventh grade boys team 3 years. We have worked closely with Commander Phil Ceaser and Past Commander Dave Dolloff on this youth baseball project.

The Dirigo Boys State program has always been near and dear to my heart. It is a program that teaches teenaged boys in Maine about leadership, civic

Suggested Pages

See All



Heather Sanborn

Malory and 22 other friends like this.

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Dan Waxman

Diane and Dory like this.

Like



Re-Elect Joyce "Jay" McCreight

Jeremy and 26 other friends like this.

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Search



22

7 Comments

Like

Comment

Share



Mark S. Jackson Thank you for having the guts to post this.

Like · Reply · 2h

3



Wanda Gower Worrey I would sincerely vote for her. Alas, I do not live in her District.

Like · Reply · 2h

1



David Dolloff At a boy Dan!

Like · Reply · 2h

1



Peter Carpenter Wow

Like · Reply · 1h



Mary St Pierre Cattadoris Thanks for sharing this information.

Like · Reply · 1h

1



Jaimelynn Spaulding Thank you for not taking sides of " what party you belong to" and doing what is right

Like · Reply · 51m



Ann LaVangie Lackey Thank you for posting Dan.

Like · Reply · 19m



Write a comment...

Scarboro Dan

Rachel Home Create

5



Scarboro Dan

Add Friend

Message

Timeline About Friends 21 Mutual Photos More

DO YOU KNOW SCARBORO?

To see what he shares with friends, send him a friend request.

Add Friend



21 Mutual Friends

Intro

Boy, has Scarboro changed a lot in the last 37 years. Most of it good.

- Lawyer at Jones & Warren Attorneys at Law
- Worked at Millon Dollar Advocates Forum
- Studied at University of Maine School of Law
- Studied at University of Maine
- Went to Scarborough High School
- Lives in Scarborough, Maine
- From Scarborough, Maine
- Manages Jones & Warren Attorneys at Law

Photos

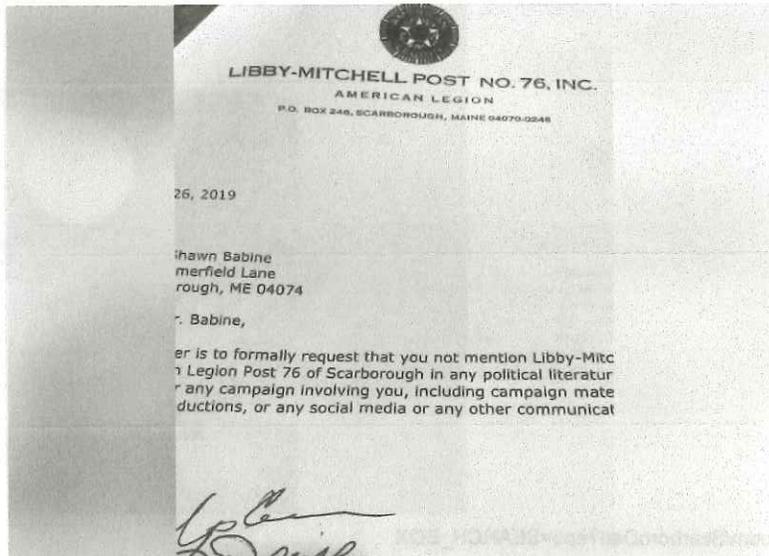


Scarboro Dan

2 hrs ·

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Harlan Baker Reb Millett Jeremy Kennedy



Janet T. Mills Jean-Marie Caterina Patricia Washburn



Sarah Rawlings Kim Monaghan Drew Gattine



Watch together with friends or with a group

Start

14

5 Comments 3 Shares

Like

Comment

Share



Rebecca Hawk Everybody write to him and ask him to resign. This is crazy!!! Just a quick note...that's all. We need to do this for our town!

Like · Reply · 2h

1



Brian McLellan Please refresh my memory as to which 2015 scandal you are referring to. At times it seems like there is so many I get confused

Like · Reply · 1h



Rebecca Hawk replied · 1 Reply 54m



David Dolloff Keep it rolling Dan don't let up!!!

Like · Reply · 1h

2



Kate StClair Scarborough resident JMC? They must have meant his partner in crime JMC. I now know why they were such good friends. They both are cut from the same cloth.

Like · Reply · 1h



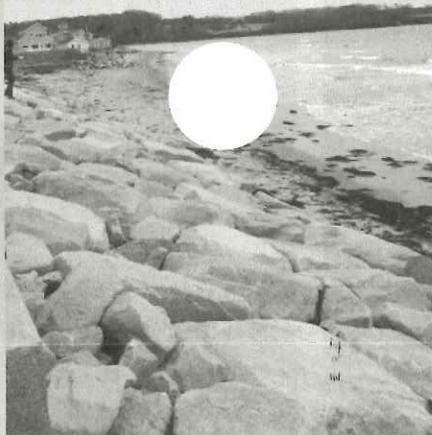
Write a comment...



Scarboro Dan

23 hrs ·

Higgins Beach
Scarboro, Maine
Finding beauty amid the coronavirus (Video)



Scarboro Dan



Rachel

Home

Create

5

95

12 Comments 5 Shares

Like

Comment

Share

View 8 more comments



Marc Abramson I see the CE headlands in the background.

Like · Reply · 21h



Jim Dibble I can almost smell the salt water. I do miss that fragrance

Like · Reply · 20h



Scarborough Beach What beach? At high tide none

Like · Reply · 15h



Rebecca Hauk My favorite beach!

Like · Reply · 5h



Write a comment...



Commission on Governmental Ethics and Election Practices
 Mail: 135 State House Station, Augusta, Maine 04333
 Office: 45 Memorial Circle, Augusta, Maine
 Website: www.maine.gov/ethics
 Phone: 207-287-4179
 Fax: 207-287-6775

2020 CAMPAIGN FINANCE REPORT
FOR PRIVATELY FINANCED CANDIDATES

COMMITTEE		TREASURER	
Ms. Annalee Z Rosenblatt 18 Tall Pines Road Scarborough, ME 04074 PHONE:(207) 415-1560 EMAIL: azr5209@aol.com		Matthew Rosenblatt 18 Tall Pines Road Scarborough, ME 04074 PHONE: EMAIL: roseypuck@yahoo.com	
REPORT	DUE DATE	REPORTING PERIOD	
11-Day Pre-Primary Report	07/06/2020	01/01/2020 - 06/30/2020	

FINANCIAL ACTIVITY SUMMARY

RECEIPTS	TOTAL FOR THIS PERIOD	TOTAL FOR CAMPAIGN
1. CASH CONTRIBUTIONS FOR THE PRIMARY ELECTION (SCHEDULE A)	\$2,100.00	\$2,100.00
1A. CASH CONTRIBUTIONS FOR THE GENERAL ELECTION(SCHEDULE A) <i>For party candidates, general election contributions will only appear on this line after the primary.</i>		
1B. CASH CONTRIBUTIONS FOR THE GENERAL ELECTION RAISED PRIOR TO PRIMARY (Not shown on Schedule A of this report)		
2. OTHER CASH RECEIPTS (INTEREST, ETC)	\$232.60	\$232.60
3. LOANS (SCHEDULE C)	\$1,000.00	\$1,000.00
4. TOTAL RECEIPTS	\$3,332.60	\$3,332.60
EXPENDITURES		
5. EXPENDITURES (SCHEDULE B)	\$1,673.30	\$1,673.30
6. LOAN REPAYMENTS (SCHEDULE C)	\$0.00	\$0.00
7. TOTAL PAYMENTS	\$1,673.30	\$1,673.30
CASH SUMMARY		
8. CASH BALANCE AT BEGINNING OF PERIOD	\$0.00	
9. PLUS TOTAL RECEIPTS THIS PERIOD (LINE 4)	\$3,332.60	
10. MINUS TOTAL PAYMENTS THIS PERIOD (LINE 7)	\$1,673.30	
11. CASH BALANCE AT END OF PERIOD	\$1,659.30	
OTHER ACTIVITY		
12. IN-KIND CONTRIBUTIONS (SCHEDULE A-1)	\$0.00	\$0.00
13. TOTAL LOAN BALANCE AT END OF PERIOD (SCHEDULE C)	\$1,000.00	
14. TOTAL UNPAID DEBTS AT END OF PERIOD (SCHEDULE D)	\$1,000.00	
FOR PARTY CANDIDATES ONLY: CASH CONTRIBUTIONS FOR THE GENERAL ELECTION RAISED PRIOR TO PRIMARY ELECTION (INCLUDED IN LINE 1A AFTER PRIMARY ELECTION)	\$400.00	\$400.00

I, Annalee,Z. Rosenblatt, CERTIFY THAT THE INFORMATION CONTAINED IN THIS REPORT IS TRUE, ACCURATE, AND COMPLETE TO THE BEST OF MY KNOWLEDGE.

REPORT FILED BY: Annalee,Z. Rosenblatt
 REPORT FILED ON: 7/6/2020 8:57:29 PM
 LAST MODIFIED: 7/6/2020 9:36:12 PM
 COMMITTEE ID: 363679

**SCHEDULE A
CASH CONTRIBUTIONS**

- For contributors who gave more than \$50, the names, address, occupation, and employer must be reported. If "information requested" is listed instead of occupation and employer, the candidate is waiting to receive that information.
- Cash contributions of \$50 or less can be added together and reported as a lump sum.
- Contributor Types

1 = Individual

2 = Candidate/ Spouse/ Domestic Partner

3 = Commercial Source

4 = Nonprofit Organization

5 = Political Action Committee

6 = Political Party Committee

7 = Ballot Question Committee

8 = Other Candidate/ Candidate Committee

9 = Candidate / Candidate Committee

10 = General Treasury Transfer

11 = Transfer from Previous Campaign

12 = Contributors giving \$50 or less

13 = Contributors giving \$100 or less

14 = Contributors giving \$200 or less

15 = MCEA Payment

16 = Financial Institution

DATE RECEIVED	CONTRIBUTOR	EMPLOYER AND OCCUPATION	TYPE	ELECTION	AMOUNT
3/23/2020	Contributors giving \$50 or less		12	Primary	\$50.00
3/23/2020	Karen Vachon 25 OCEAN AVENUE SCARBOROUGH, ME, 04074	Self Insurance Industry	1	Primary	\$400.00
4/20/2020	Contributors giving \$50 or less		12	Primary	\$100.00
4/25/2020	Amy Volk 6 High Point Drive Saco, ME, 04072	Volk Packaging Manufacturing	1	Primary	\$400.00
4/25/2020	Amy Volk 6 High Point Drive Saco, ME, 04072	Volk Packaging Manufacturing	1	General	\$400.00
4/27/2020	Robert Luke, III 48 Kirkwood Road Scarborough, ME, 04074	None Retired	1	Primary	\$400.00
5/1/2020	Sylvia Most 16 WEST BEECH RIDGE ROAD SCARBOROUGH, ME, 04074	Windham School Department Teacher/Education	1	Primary	\$25.00
5/7/2020	Heather Sirocki 32 Glendale Circle Scarborough, ME, 04074	EMPLOYMENT INFO REQUESTED	1	Primary	\$400.00
5/8/2020	Contributors giving \$50 or less		12	Primary	\$50.00
5/9/2020	Thea Nelson 3 Schooner Road Scarborough, ME, 04074	None Homemaker	1	Primary	\$100.00

5/11/2020	Contributors giving \$50 or less		12	Primary	\$50.00
5/11/2020	Erica Pinkham 4 Audubon Scarborough, ME, 04074	None Retired	1	Primary	\$100.00
5/25/2020	Contributors giving \$50 or less		12	Primary	\$25.00
TOTAL CASH CONTRIBUTIONS FOR PRIMARY					\$2,100.00
TOTAL CASH CONTRIBUTIONS FOR GENERAL					\$400.00

**SCHEDULE B
EXPENDITURES**

EXPENDITURE TYPES

APP	Apparel (t-shirts, hats, embroidery, etc.)	CON	Contribution to party committee, non-profit, other candidate, etc.
EQP	Equipment of \$50 or more (computer, tablet, phone, furniture, etc.)	EVT	Campaign and fundraising events (venue or booth rental, entertainment, supplies, etc.)
FOD	Food for campaign events or volunteers, catering	HRD	Hardware and small tools (hammer, nails, lumber, paint, etc.)
LIT	Printed campaign materials (palmcards, signs, stickers, flyers, etc.)	MHS	Mail house and direct mail (design, printing, mailing, and postage all included)
NEW	Newspaper and print media ads only	OFF	Office supplies, rent, utilities, internet service, phone minutes and data
ONL	Social media and online advertising only	OTH	Other and fees (bank, contribution, and money order fees, etc.)
PER	Personnel and campaign staff, consulting, and independent contractor costs	PHO	Phones (phone banking, robocalls and texts)
POL	Polling and survey research	POS	Postage for U.S. Mail and mail box fees
PRO	Professional services (graphic design, legal services, web design, etc.)	RAD	Radio ads, production costs
TKT	Entrance cost to event (bean suppers, fairs, party events, etc.)	TRV	Travel (fuel, mileage, lodging, etc.)
TVN	TV/cable ads, production, and media buyer costs only	WEB	Website and internet costs (website domain and registration, etc.)

DATE OF EXPENDITURE	PAYEE	REMARK	TYPE	AMOUNT
3/23/2020	Staples 443 Western Avenue South Portland, ME, 04106	Envelopes	OFF	\$40.80
3/23/2020	US Postoffice 31 GORHAM ROAD SCARBOROUGH, ME, 04074	Letter from DW	POS	\$137.50
3/24/2020	US Postoffice 31 GORHAM ROAD SCARBOROUGH, ME, 04074	Mailers	POS	\$165.00
4/20/2020	US Postoffice 31 GORHAM ROAD SCARBOROUGH, ME, 04074	Mailers	POS	\$55.00
6/29/2020	Spectrum Marketing Companies 95 EDDY ROAD MANCHESTER, NH, 03102	Signs and wickets	LIT	\$1,275.00
TOTAL EXPENDITURES FOR CANDIDATE:				\$1,673.30

**SCHEDULE C
LOANS/LOAN PAYMENTS**

LOAN TRANSACTIONS FOR THIS REPORTING PERIOD						
Lender	Type	Original Loan Date	Original Loan Amount	Activity Date	Loan Activity	Activity Amount
Annalee Z. Rosenblatt	Candidate/ Spouse/ Domestic Partner	03/23/2020	\$1000.00	3/23/2020	Loan - Campaign Starter	\$1000.00
LOAN ACTIVITY FOR THIS CAMPAIGN						
Lender	Type	Original Loan Date	Original Loan Amount	Total Payment Amount	Total Forgiveness Amount	Loan Balance
Annalee Z. Rosenblatt	Candidate/ Spouse/ Domestic Partner	03/23/2020	\$1000.00	\$0.00	\$0.00	\$1000.00
TOTAL LOAN BALANCE AT END OF REPORTING PERIOD						\$1000.00



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

August 5, 2020

By Email and Regular Mail

Annalee Z. Rosenblatt
18 Tall Pines Road
Scarborough, ME 04074

Dear Ms. Rosenblatt:

The Maine Commission on Governmental Ethics and Election Practices received the attached request for an investigation from Rachel Hendrickson, Chair of the Scarborough Democratic Town Committee, in connection with an apparent April 27, 2020 mailing by your former campaign treasurer, Dan Warren. The letter is addressed to Democratic residents in House District 29 and invites them to consider running as a write-in candidate in the July 14, 2020 Democratic primary election against the incumbent State Representative Shawn Babine. In the letter, Mr. Warren explains why he believes Rep. Babine is unfit to hold office and why he is working against Rep. Babine's re-election.

In your July 6, 2020 campaign finance report, you reported paying \$137.50 on March 23, 2020 for postage with a remark of "Letter from DW," along with three other expenses in March-April 2020 that appear to be related to mailing campaign literature. Ms. Hendrickson asks the Commission to consider whether your spending on the April 27 mailing constitutes a legal violation because Mr. Warren's letter did not state who financed the mailing and whether you authorized it. The Commission is required to consider Ms. Hendrickson's request at a public meeting. I have tentatively scheduled her request for consideration at the Commission's meeting on September 30, 2020 (more details concerning the meeting are on page 3).

Relevant Law

Disclosure statements in paid communications. If a person makes an expenditure for a paid communication that expressly advocates the election or defeat of a clearly identified candidate and the communication is authorized by a candidate or a candidate's authorized political committee (or their agents), the communication "must clearly and conspicuously state that the communication has been so authorized and must clearly state the name and address of the person who made or financed the expenditure for the communication."

21-A M.R.S. § 1014(1). Likewise, if a person pays for an express advocacy communication that is *not* authorized by any candidate or any candidate's political committee, the communication must also state who financed the expenditure and contain a specific disclosure statement ("NOT PAID FOR OR AUTHORIZED BY ANY CANDIDATE"). 21-A M.R.S. § 1014(2).¹ A violation of these requirements may result in a civil penalty of no more than 100% of the amount of the expenditure for the communication. 21-A M.R.S. § 1014(4).

Express Advocacy. The disclosure requirements set out in the previous paragraph apply only if the communication expressly advocates for the election or defeat of a candidate.

The Commission's rules define express advocacy as "any communication that"

- (1) uses phrases such as "vote for the Governor," "reelect your Representative," "support the Democratic nominee," "cast your ballot for the Republican challenger for Senate District 1," "Jones for House of Representatives," "Jean Smith in 2002," "vote Pro-Life" or "vote Pro-Choice" accompanied by a listing of clearly identified candidates described as Pro-Life or Pro-Choice, "vote against Old Woody," "defeat" accompanied by a picture of one or more candidate(s), "reject the incumbent," or communications of campaign slogan(s) or individual word(s), which in context can have no other reasonable meaning than to urge the election or defeat of one or more clearly identified candidate(s), such as posters, bumper stickers, advertisements, etc. which say "Pick Berry," "Harris in 2000," "Murphy/Stevens" or "Canavan!"; or

¹ Similar disclosures are required for paid communications that mention a specific candidate but do not include express advocacy, if the communication is disseminated in the last 21 days before a primary election or after Labor Day for a general election. 21-A M.R.S. § 1014(2-A).

(2) is susceptible of no reasonable interpretation other than as an appeal to vote for or against a clearly identified candidate.

94-270 C.M.R. ch. 1, § 10(2)(B).

Request for Response

At the meeting on September 30, 2020, the members of the Commission will consider whether you and/or Mr. Warren violated 21-A M.R.S. § 1014(1) by not including in the April 27 mailing a disclosure statement confirming that you had financed the communication and whether you, or your political committee, had authorized the letter. If the Commission finds that the expenditure constituted a violation, it may wish to consider whether to assess a civil penalty. The meeting will begin at 9:00 a.m. and will be conducted by Zoom videoconference.

Please submit a written response to Ms. Hendrickson's letter by Wednesday, August 26, 2020. You are welcome to include any information you believe the Commission ought to know concerning this matter. In your response, the Commission staff recommends including the following information:

- Please provide the details of all payments for the mailing of which you are aware (the date, payor, payee, and amount).
- Were you aware of the letter before it was sent? If you were, did you authorize the mailing before it was sent?
- Please confirm when Mr. Warren stopped serving as your campaign treasurer.

If you would like, you are welcome to address the issue of whether the letter expressly advocates Rep. Babine's defeat, which the Commissioners may also discuss at the September 30, 2020 meeting.

The Commission staff also recommends that you participate in the September 30 meeting by Zoom videoconference to respond to the allegation that you violated 21-A M.R.S. § 1014(1).

Annalee Z. Rosenblatt

Page 4

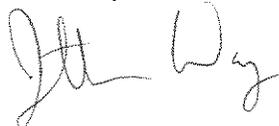
August 5, 2020

Responsibility by Dan Warren

At this time, the Commission and its staff have no knowledge of who had control over the content of the April 27 mailing and who directly made the expenditures for the mailing. Although Ms. Hendrickson's letter is directed toward you only, at their September 30 meeting the members of the Commission may wish to consider whether Mr. Warren shared in the responsibility for any legal violation. Accordingly, I will be writing Mr. Warren separately to notify him of this matter and to invite him to respond.

Thank you for your cooperation with this request. Please email me at Jonathan.Wayne@maine.gov or call me at 287-4179 if you have any questions.

Sincerely,

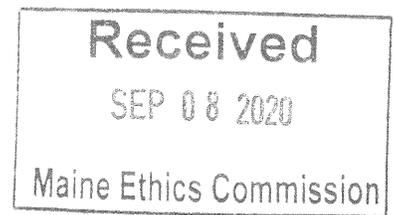
A handwritten signature in black ink, appearing to read "Jonathan Wayne". The signature is written in a cursive style with a large initial "J" and "W".

Jonathan Wayne
Executive Director

Attachment

cc: By Email
Daniel R. Warren, Esq.
Rachel Hendrickson

Mrs. Annalee Z. Rosenblatt
18 Tall Pines Road
Scarborough, ME 04074



September 1, 2020

Commission on Governmental Ethics
and Election Practices
135 State House Station
Augusta, ME 04330

Dear Ethics Commission,

I am responding to your letter from August 5, 2020. The letter sent from Dan Warren in question was not authorized by me and was done without my knowledge. Further two days prior to the date on the letter sent by Mr. Warren, Mr. Warren sent me a letter informing me he would be stepping away from my campaign as my treasurer.

I have attached the email letter from Mr. Warren in which he tells me he is stepping away from my campaign.

Sincerely,

A handwritten signature in cursive script that reads "Annalee Z. Rosenblatt".

Annalee Z. Rosenblatt

Enclosure

Cc: Josh Tardy
Dan Warren

Subject: **Campaign suspension**
Date: 4/25/2020 3:15:00 PM Eastern Standard Time
From: jonesandwarren@gmail.com
To: azr5209@aol.com

Annalee

I'm going to send you an email now telling you that I would like to suspend my efforts with your campaign due to the difficulty that President Trump and his coronavirus briefings are having with my efforts to get local Democrats to vote for you.

My letter will tell you how highly I think of you, and greatly regret that the coronavirus crisis has made it impossible to get Democrats to vote for you and allow your campaign to succeed.

Please let me know it's OK when you get it, and that you have received it.

Thanks,
Dan

Subject: **Suspension of campaign efforts**
Date: 4/25/2020 3:49:10 PM Eastern Standard Time
From: jonesandwarren@gmail.com
To: azr5209@aol.com

Annalee

I am following up our meeting in person on Friday afternoon concerning your campaign, and the tremendous difficulty that the coronavirus and President Trump's handling of the crisis have caused your campaign with Democrats in Scarborough.

In short, Democrats now even more strongly than ever oppose President Trump.

Their opposition to him, up through February, was such that I thought I could still get you elected in Scarborough.

Now, however, his performances at the daily press briefings are viewed by Democrats as so atrocious and harmful to the country that they are unwilling to vote for any Republicans for any office who supports President Trump or who might assist President Trump in anyway.

I am sorry about this change of circumstances.

The coronavirus has changed American society in a dramatic way forever. Your campaign is one unfortunate byproduct of that. This decision is painful for me. I have always had tremendous respect for you, Annalee, and had looked forward to working with you to defeat Rep. Babine, whom I believe is unfit for office.

I will be in touch with you in the future.

Best regards

Dan Warren



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

August 5, 2020

By Email and Regular Mail

Daniel R. Warren, Esq.
Jones & Warren, P.A.
243 U.S. Route One
Scarborough, ME 04074

Dear Mr. Warren

The Maine Commission on Governmental Ethics and Election Practices has received a request for an investigation concerning an April 27, 2020 mailing from you opposing State Representative Shawn Babine's re-election. The Commission is being asked to consider whether Annalee Rosenblatt's spending on the mailing constitutes a legal violation because your April 27 letter did not state who financed the mailing and whether Ms. Rosenblatt, or her political committee, authorized the mailing. I have enclosed the request for investigation and correspondence to Ms. Rosenblatt that describes the alleged violation in greater detail.

The Commission will consider this matter at a public meeting to be held by Zoom videoconference on September 30, 2020, beginning at 9:00 a.m. I expect that the Commission will consider whether the mailing violated 21-A M.R.S. § 1014(1) and, if so, whether to assess a civil penalty. Although the request for investigation is primarily directed toward Ms. Rosenblatt, the members of the Commission may wish to consider whether you shared any responsibility for any legal violation that they find. At the time of the mailing, you were on record with this office as serving as her campaign treasurer and may be considered part of the political committee promoting her election.

Request for response. You are invited to respond to the potential finding of violation and penalty. In particular, the Commission would appreciate your explanation of the full costs of the mailing and who was responsible for its content. Please submit a written response to the enclosed request for investigation no later than Wednesday, August 26, 2020. The Commission staff recommends including the following information:

- Please provide the details of all payments for the mailing of which you are aware (the date, payor, payee, and amount).
- Who was responsible for the content of the mailing?

You are also welcome to address the issue of whether the letter expressly advocates Rep. Babine's defeat.

Daniel R. Warren, Esq.

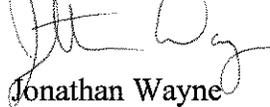
Page 2

August 5, 2020

After reading your and Ms. Rosenblatt's written responses, the Commission may request that you participate in the September 30 meeting by Zoom videoconference to respond to the request for investigation.

Thank you for your cooperation with this request. Please email me at Jonathan.Wayne@maine.gov or call me at 287-4179 if you have any questions.

Sincerely,

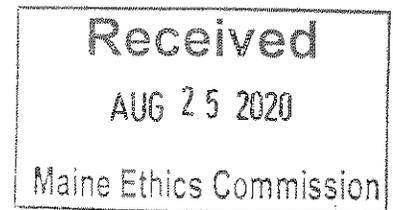


Jonathan Wayne
Executive Director

Attachments

cc: Annalee Z. Rosenblatt
Rachel Hendrickson

SUMMARY



From: Dan Warren *DW*
To: Jonathan Wayne
Re: Chair of Scarborough Democratic Town Committee v Annalee Rosenblatt
Date: Aug. 23, 2020

Summary:

1. I resigned from Annalee Rosenblatt's campaign on April 25, 2020 in person with her;
2. I typed the two page letter two days later, and mailed it to 1,000 of my fellow Scarborough Democrats over five days—about May 6, 2020 to May 11, 2020;
3. I paid for the postage with a \$550.00 check to the Post Office. I paid for 1,000 at Staples with cash;
4. My letter was not "expressly seeking defeat" of Rep. Babine. The letter made clear at several points my only goal was to get a good Democratic write-in candidate. I had spent the past six months of 2019 meeting with, or communicating with the tribal elders of the Democratic Party---my party for the past 45 years, requesting urgently that they find a candidate to replace Babine, and not put our party thru further local and state turmoil. I was rebuffed. Had I gotten a suitable write-in candidate for the July 14 primary, local and state Democrats would have had the chance to meet with Babine, cite his many community and public-personnel problems, and urge him to drop out. Had I wanted simply to beat him in a vigorous campaign, either July 14, or Nov. 3, I could have done that. I have a track record in Scarborough politics. Instead, I chose a conciliatory path that short-sighted election officials declined. The result? Babine was "blank-balloted" by 550 fellow Scarborough Democrats in the July 14 Primary election.
5. My sole goal since July 2019 has been to find a good human being who was physically and emotionally ready to be State Representative for House District 29.

August 24, 2020

Mr. Jonathan Wayne
Executive Director
Maine Commission on Governmental Ethics and Election Practices
135 Statehouse Station
Augusta, ME 04333

Re: Ethics Charge vs. Annalee Rosenblatt by Rachel Hendrickson

Dear Mr. Wayne:

Thank you for your letter of August 5, 2020. You enclosed documents concerning a complaint filed with your agency by a Rachel Hendrickson, whose address is listed as 2 Plantation Drive, Scarborough, vs. Annalee Rosenblatt, a candidate currently for the Maine House of Representatives. Ms. Hendrickson identifies herself as "Chair, Scarborough Democratic Town Committee." Rosenblatt, whose address is 18 Tall Pines Road, Scarborough, is the Republican candidate for the so-called Scarborough Coastal District 29.

The complaint centers on a two-page letter I, a Democrat in Scarborough for 45 years, sent as a private citizen to 1,000 of my fellow Democrats in Scarborough on April 27, 2020. The letter outlined the troubling history, and outrageous activities of the current incumbent, Rep. Shawn Babine, a Democrat. In the letter, I told my fellow Democrats, some of whom helped me start the modern Scarborough Democratic Town Committee in 1983, and assisted my election efforts as a Democrat to the Maine House in 1984 and 1988, that I believed that Rep. Babine is unfit to hold any public office.

The letter, addressed to "Dear Fellow Democrat," listed my name, family P.O. Box address, personal e mail address, and personal phone number, and made clear I was seeking a Scarborough Democrat to enter the District 29 race as a Democratic write-in candidate in the July 14 Democratic Primary Election. The goal of that candidate was to be to win the primary election, and earn a spot on the District 29 ballot on Nov. 3 as the Democratic nominee.

I had served as treasurer for Annalee Rosenblatt's campaign for Maine House District 29 from about March 16, 2020 until April 25, 2020, when I met with her and resigned from her campaign (due to coronavirus political issues that made her campaign certain to fail, I believed). Two days later, I sent the letter to "Dear Fellow Democrat(s)" in Scarborough, seeking a write in candidate to win the July 14 Primary election, and then the November 3 general election for District 29.

I paid for the mailing out of my own pocket. I bought two boxes of envelopes (500 per box) at Staples. I typed the two-sided letter myself. I photocopied it on my photocopier machine. I bought 1,000 55-cent stamps at the Scarborough Post Office. The stamps were \$550. I paid for them with a personal check (check number 8361; Town and Country Federal Credit Union; May 2, 2020). The envelopes, as I recall were less than \$100. I did not request receipts for the envelopes or stamps because I had no need. I am happy to testify under oath about both expenditures, that they were paid by me, and for a mailing by myself, a private citizen advocating for no party nor any candidate.

The mailing was the latest in the efforts by me and others in Scarborough to educate the public about Rep. Babine, his troubled 10-year history, and his unfitness for public office. The only goal I needed to achieve in the mailing was getting a Democratic candidate. Obtaining any particular view by my fellow

page two

Democrats on my letter and its description of Babine's activities did not matter; I just needed one good, honest, hard-working, publicly spirited candidate. I also realized the letter may produce several Democratic write-in candidates.

I had spent the past eight months contacting Democrats at the local and state level, requesting they examine Rep. Babine's record of outrageous public and private activities, and find another Democrat to run for the House District 29 seat. (Attached as Exhibit 1 is a copy of the two-page letter "Dear Fellow Democrat" letter I mailed out dated 4-27-20).

People I communicated with included:

- 1) State Senator Linda Sanborn (a Democrat whom I campaigned for in 2018);
- 2) State Rep., and 2020 State Senate candidate Anne Carney (a Democrat whose campaign I donated to in spring 2020);
- 3) Peter Mills, brother of Democratic Gov. Janet Mills;
- 4) Jonathan Asen, Chief of Staff to the Democratic House Speaker of the Maine House, Sara Gideon;
- 5) Rep. Matt Moonen of Portland, Democratic Majority Leader of the Maine House of Representatives;
- and
- 6) Democratic Gov. Janet Mills.

The "Dear Fellow Democrat" letter I sent on April 27, 2020 to 1,000 Scarborough Democrats was successful in educating Scarborough Democrats. I received 63 phone calls from my fellow Democrats. I received about 40 e mails.

The respondents included:

- 1) people I had gone door to door for in Scarborough in spring 1974 (as an SHS student) for Democratic candidate for Governor, George J. Mitchell;
- 2) people I had worked with in Washington D.C. in 1978 as an intern for U.S. Sen. Edmund S. Muskie (D-Maine);
- 3) people I had worked with in Lewiston District Court in 1981 as a summer law school intern for then-Androscoggin County's Democratic District Attorney, Janet Mills;
- 4) fellow Democrats who had campaigned for me in Scarborough in 1984 when, as a Democrat, I was running for Maine House of Representatives in a district that included both Scarborough and part of Saco;
- 5) fellow Democrats who had assisted me in my successful re-election campaign in 1986 as a Democrat in Scarborough for the same House seat;
- 6) Scarborough citizens who had helped me get elected to (the non-partisan election) Scarborough Town Council;
- 7) people who worked with my sister Cindy when she was a Statehouse staffer for Democratic Maine Governor Joseph Brennan during part of his first term (circa 1978-82);
- 8) Scarborough voters who helped me in 1983 in the Maine Democratic Party Presidential Straw Poll when I served as Maine field coordinator for Presidential candidate U.S. Sen. Fritz Hollings (D-S.C);
- 9) people I had worked with on the 2018 Democratic Gubernatorial campaign of Janet Mills;
- and

page three

10) numerous other Scarborough Democrats I have worked with on various community projects during the past 45 years in Scarborough since my graduation from Scarborough High School in 1975 (President of SHS Student Government).

My April 27, 2020 “Dear Fellow Democrat” letter, which was sent to solicit candidates who would be willing to run as a write-in candidate in the July 14, 2020 Democratic Primary. These write-in candidates would be seeking to win, and earn a spot on, the Maine House District 19 ballot on Nov. 3, 2020.

My letter to my fellow Democrats included some of these troubling events in Rep. Babine’s past decade in Scarborough:

1) his departure in disgrace after 18 months as Business Manager of Scarborough’s Libby-Mitchell American Legion Post 76, where I was in the midst of 17 years as General Manager of the Libby-Mitchell youth baseball program. My GM duties included supervision of on-field baseball activities of the teams, and substantial and constant fundraising for scarce community dollars.

(Babine’s “confidential office” that he set up on the second floor of the Legion Hall was about 55 feet from the supply closet where Libby-Mitchell stored baseball equipment, scorebooks, birth certificates, and administrative records. Attached as Exhibit 2 is a photo of the “confidential office” Babine persuaded Legion officers to allow him to set up on the second floor of the Libby-Mitchell Post building; and a photo of the supply closet of the Libby-Mitchell youth baseball program in the same room where I and the coaches stored cold-sensitive equipment).

At the end of my term as General Manager of the Libby-Mitchell youth baseball program, I was nominated by the Post for the Maine Baseball Hall of Fame (Attached as Exhibit 3 is the Libby-Mitchell advertisement in the HOF induction booklet from the July 23, 2017 banquet).

At the end of Babine’s term as Business Manager, he received a letter from Post Commander Philip Ceaser and Past Commander David Dolloff instructing him never to use the Libby-Mitchell name in any political materials. (Attached as Exhibit 4 to this letter is a copy of the July 26, 2019 letter from the Post to Babine);

2) Babine’s frequent public intoxication in Scarborough, including incidents of drunk driving on U.S. Route One (a road that hosts 44,000 drivers a day thru Scarborough) witnessed by me and reported to Scarborough Police. My concern with Rep. Babine on the issue of alcohol is not the reasonable use that we all may enjoy—but instead the excessive use, and the driving of motor vehicles while inebriated. Drinking and driving kills people;

3) Babine’s showing up at a June 3, 2015 Scarborough Town Council meeting in a visibly intoxicated state, being told—according to three witnesses—that he had driven to Town Hall drunk, would not be allowed to drive home drunk, and needed to call his wife for a ride home. He did. A fellow councilor has stated that she had to assist Babine to the parking lot because he was “too drunk to walk.” (A copy

page four

of the minutes of that June 3, 2015 Scarborough Town Council meeting is attached here as Exh. 5).

4) after the meeting, Rep. Babine sought—and received—preferential treatment from discipline due to his position as an elected official, a troubling abuse of power.

5) Babine's being elected to the Scarborough Town Council three different times, and all three times resigning mid-term (His term as chair of the Scarborough 350th Anniversary Celebration ended similarly in 2008);

6) Babine's being removed on Nov. 17, 2019 as Director of the Dirigo Boys State program, which is run by the Maine Department of American Legion. The state American Legion had before it, at a hearing and vote, documents with the information this letter. Libby-Mitchell Post 76 Commander Philip Ceaser was also present at the Nov. 17, 2019 state meeting, which was presided over by State Legion official Paul L'Heureux. Despite this removal, Babine's "biography" on the web site hosted by the Democratic Majority Office in the Maine House of Representatives continued to contain information claiming he continued to be Boys State Director, and was active with veterans organizations. Both claims are false. (Attached as Exhibit 6 is a photo of that Babine biography on the House Democratic web site);

My fellow Scarborough Democrats were appreciative, upon receipt of the letter in May, of being informed of this factual information about Rep. Babine. Most said they did not know these facts; veterans were appalled; police officers were aghast; longtime Democrats were embarrassed to be in a party where Babine was a standard bearer; a college ethics professor was alarmed that Babine had used his position as an elected Scarborough Town Councilor on June 3, 2015 to selfishly ask that he be excused from driving drunk to Town Hall for a meeting. Some females told me stories of abusive and misogynistic behavior.

(I was occasionally asked what my personal dealings had been with Babine; I said none; I have never, to this day, met him. We have been in the same room 50 or more times; he generally exits hurriedly upon seeing me, whether at the Legion Hall, Hannaford, Town Hall, or elsewhere. I said-I have just witnessed the harm he has done to people and organizations in our community).

Numerous people volunteered to talk with friends to see if they could help me find a Democratic candidate for July 14. Several made pledges of a financial contribution. Two said they would love to run in 2022, but had work or family obligations now that would prevent a 2020 candidacy by them. Two Democratic officeholders urged me to keep looking "until at least May 1" for a Democrat to be a write in candidate---to be on the Nov. 3 ballot and eventually beat all other candidates of any party on the Nov. 3 ballot and be elected to the Maine House of Representatives. If I failed to get a Democratic write-in candidate by May 1 who could eventually be elected and serve in Augusta, my friends said I then should shift my efforts to finding an Independent candidate.

Meanwhile, support for Rep. Babine was non-existent.

Below, I detail efforts I undertook in the first ten days of May to try to find a Democratic Party write-in

page five

candidate, which was my goal.

By around May 10, no Democrat had come forward to serve as a write-in candidate for the July 14 Democratic Primary Election.

Despite this, the resolve to have our party and town stop supporting Babine for any public office got stronger---eventually, on July 14, Babine was to suffer the embarrassment of being “blank balloted” by 550 of his fellow Democrats who left their July 14 Primary ballots “blank” rather than vote for Babine in the uncontested Primary election. (Attached as Exhibit 7 is a summary of election results for the July 14, 2020 elections from the Scarborough Town Clerk);

By May 11, it became clear it was time to seek an Independent to run.

Local and state election officials confirmed that, if I found an Independent candidate to run on the Nov. 3 ballot, all that Independent had to do was gather up to a maximum of 90 petition signatures by the May 22, 2020 deadline. That process would probably take two weeks of work—the task being made all the more difficult in Coronavirus times.

It seemed important to locate an Independent candidate at least by May 15, 2020.

From about May 10, 2020 to May 14, 2020, I contacted more than 150 Scarborough citizens to try to find an Independent to run on the Nov. 3 ballot in House District 29. I contacted neighbors, people whose kids had grown up with my three kids, fellow coaches on sports teams, SHS teachers, recent SHS graduates whom I had coached on various teams.

The experience was similar to the efforts to find a Democratic write-in candidate; the shock at the Babine history was overwhelming; the offers of volunteer support were impressive once a candidate was located; the pledges of financial help were generous and sizeable.

But the timing and looming deadline made the opportunity a non-starter for most people.

Meanwhile, my daughter Sophie, age 24, had recently—and unexpectedly--returned home to Scarborough due to the Coronavirus shutdown nationally.

(Sophie graduated from Brandeis in 2019 in International Relations. She had been able there to pursue her lifelong passion—international travel and worldwide public policy. She spent a summer working in Jerusalem with a Palestinian-Israeli kids musical group. She did a semester at The Hague, involved with the International Criminal Court. After graduating from Brandeis in 2019, she went to Washington DC to begin an internship in the office of U.S. Sen. Angus King, Independent of Maine. This internship ended about Dec. 31, 2019. After concluding that service, she was hired by the national Presidential campaign of U.S. Senator Bernie Sanders of Vermont, a position that she had sought for more than six months.

In that position with Senator Sanders’ campaign, from late December 2019 thru the Coronavirus shutdown on March 6, 2020, she was a field representative in Iowa, New Hampshire, Nevada, and California. She fully expected to work on the campaign thru Nov. 3, 2020—all over the country—and then be part of Senator Sanders’ White House staff beginning Jan. 20, 2021. She never had an iota of

page six

thoughts, during this time period of returning to her home town of Scarborough for any reason. Her focus was on international and national policy.

Sophie quarantined in Arizona for 14 days along with some other Sanders campaign workers beginning March 5, 2020. . She returned home to Scarborough Friday evening March 20, 2020.

For the next 30 days, she pursued job leads in Washington.

She e mailed and had Zoom interviews. It was clear by April 20 that Washington was “frozen” and no hiring was being done in any substantive position (the only opportunity was to be a package screener at a federal building on Capitol Hill, dressed up in an Apollo 13 type suit; she declined).

On April 20, she committed to spending the next 30 days pursuing Maine opportunities. Emails, phone calls and e mails produced similar, two-themed messages: you are very qualified, but we are going to wait till this pandemic ends, and then make some hiring decisions.

In May, friends of mine talked with Sophie, who is well known in Scarborough, and asked her if she would run for the House District 29. Sophie asked me what I thought. I told her of the personal condescending behavior of the incumbent toward people, especially women, that I thought would make a campaign very unpleasant. I also told her of the petition signature requirement, and looming deadline.

She was unfazed at the thought of a difficult campaign in Scarborough.

At Brandeis, she had been involved in 2015-16-17 in the MeToo movement, a Black Lives Matter organization, and years of turmoil/non-peace in the Middle East.

“I spent a summer in Jerusalem, and time all over the Middle East. I have been in rooms where people’s ancestors, for hundreds of years, have killed each other. Your politics in Scarborough are like kickball at recess,” she said.

Around May 15, Sophie decided she decided she was going to run for the House District 29 seat (for people with adult children, you will understand a child often “announces” a decision, does not seek input).

As part of her research, she spoke with several of her political mentors—Kay Rand, former Chief of Staff for Governor and then U.S. Senator Angus King; Mary McAleney, former Chief of Staff for U.S. Senator George Mitchell; former Scarborough Town Councilor Katy Foley; and Michelle Hayes, former Hannaford executive, current human resources private consultant, and non-profit board activist

(Attached as Exhibit 8 is one of her campaign brochures entitled “SOPHIE FOR SCARBOROUGH!!”).

Sophie obtained her signatures, local approval, and submitted 90 signatures to the Secretary of State around May 22, 2020 (copies of the petitions on file with the Secretary of State’s office will allow

page seven

verification of May dates mentioned).

Sophie immediately jumped into the Independent campaign. She began campaigning “driveway to driveway” in May, balancing Gov. Mills’ wish that Maine begin the effort—slow and wisely—to “re-open” society with the need to take safe precautions due to Coronavirus. She supplemented these efforts with a district-wide mailing of a brochure.

A Facebook page assisted her campaigning efforts.

Her campaign volunteers during the time of the July 14 Republican and Democratic primaries in Scarborough placed 161 signs on voter lawns in the 48 hour period before the July 14 primaries—even though, as an Independent, she was not on the ballot. She sought name recognition.

On Primary Day, she stood at the traditional spot where Scarborough political candidates stand on election days—more than 1,000 feet from the polling place, SHS, and across an access road from Town Hall, near a local credit union.

On July 14, her efforts appeared to pay off. 550 Democrats left their ballots “blank” in the Democratic Primary Election, according to Town Clerk records. These voters declined to vote for the incumbent Democrat, Shawn Babine, even though the election was uncontested, and no other name was printed on the ballot as a choice. Sophie received numerous (unsolicited) write-in votes.

Person after person, thruout the 12-hour polling day, told Sophie or other members of her campaign team that they had “left the ballot blank,” or “I refused to vote for Babine.” She also had been told by people that they had written Sophie’s name in as a write-in---even though she had made no such requests of people.

(Reports from election workers were that Sophie received as many as 211 write-in votes on the Democratic Party Primary Election ballot for House Seat 29, Scarborough Coastal District).

Rep. Babine was reportedly embarrassed by the 550 “blank ballots.” More important, local Democrats were very upset. One told Sophie, during driveway to driveway campaigning, that Sophie should “not run as an Independent...wait for an opening to run as a Democrat.”

8 days later, after the disappointing July 14 election results, the small circle of people who compose the Scarborough Democratic Town Committee took action; a person identified as the “Chair,” Rachel Hendrickson, filed an ethics complaint with your agency against Annalee Rosenblatt.

The local Democrats letter to this commission focused on my letter of months earlier, April 27, 2020.

Unfortunately, Ms. Hendrickson’s letter contains mistaken assumptions about the April 27, 2020 “Dear Democrat” letter I sent to 1,000 Democrats in Scarborough. She assumed I was still involved with Rosenblatt’s campaign (I wasn’t). She assumed Rosenblatt had paid for my April 27—May 10 mailing (she didn’t). Scarborough Democrats made no inquiry of me about these facts prior to filing their post-Democratic Primary complaint with this commission. This commission would have benefited from

page eight

such responsible fact-finding on the part of the Scarborough Democrat inner circle before the July 22 letter sending.

(This commission may, at some point, want to ponder if the July 22 ethics complaint was motivated by an embarrassing July 14 election showing, rather than the claimed \$137.00 expense line item).

My efforts to encourage the state Democratic Party organization to address the harm its incumbent state representative in Scarborough House Coastal District 29 began in mid 2019.

I attempted for the last half of 2019 to persuade state Democratic Party officials and other influential statewide Democrats in 2019 of the need to replace Babine with another Democratic candidate with the values, integrity, stability and honesty we all deserve in elected public officials.

I failed.

I then began in January 2020 trying to find a Democratic candidate to run in the (then) June primary for House District 29.

For similar reasons as those outlined above, my efforts also failed in trying to find a candidate in January.

Similar results greeted my recruiting efforts in early February; much approval of Babine, and offers of volunteer services, and financial donations, but no Democrat ready,, willing and able to run, win Nov. 3, and serve in Augusta in 2021-22.

As we approached the important deadline of March 15 for people to declare their Democratic Party enrollment, it became clear that no Democrat was going to come forward.

I then expanded my search to “good human beings—honesty, trustworthy, stable, mature, with an unselfish love of our town of Scarborough.” Two people suggested I meet with Annalee Rosenblatt, a well-known Scarborough Republican.

Rosenblatt and I met for a cup of coffee on about Feb. 23 at The Holy Donut in Scarborough. I told her that, in our 35 years of working together on community matters, Chamber of Commerce events, and Land Trust fund drives, I had always found her professional, sincere, hard-working, and fervently committed to whatever is best for the town of Scarborough and its citizens. I said—despite our political-party differences for 45 years, “Your personal integrity and standing in this community are sufficient for me.”

She agreed to think it over. Shortly after, she called and told me she would run.

In the coming days, I agreed I would help plan and manage her campaign. March 15, 2020 was an approach filing/petition deadline. She asked at about that time if I would serve as her campaign treasurer when state law required that decision, and a filing. Around March 16, I agreed.

For approximately the next two months, I worked hard to gain support for Rosenblatt in Scarborough among Democrats, Independents and Republicans (in 37 years of business in Scarborough, I have dealt

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with people of all political beliefs, religions, and ethnic backgrounds in youth-sports and community activities—I do not care about labels; I care about people).

I approached people I knew in business, in community activities, in youth sports teams, and childhood friends and SHS alumni.

Beginning Feb. 23, I wrote notes to friends, sent e mails, made phone calls, had cups of coffee, and did Facebook posts and videos in support of her candidacy. (Annalee is still working full-time as a labor consultant statewide at age 78, so she is out of town a lot. We would meet only periodically, and I would fill her in on my efforts). She was pleased that bi-partisan efforts were succeeding, and I was describing support I had been able to garner for her.

In the week following Feb. 23. I wrote letters to friends about Rosenblatt. I sketched out a summary of her background. I made a bunch of photocopies and mailed it in late February and early March---telling my friends and personal supporters about Annalee, her background in Scarborough, and why I was willing to support the first Republican at this level that I had done in 45 years as a Democrat. I told them she was not a candidate yet, but hoped to be March 15.

After she turned in her petition signatures to Augusta around March 15, I drafted a more detailed press release, this time with her help.

(Attached as Exhibit 10 is the one-page press released I mailed out to Scarborough Democrats and Independents and financial supporters at points between late February and April 24, 2020).

Annalee received feedback from people she knew of my efforts.

At some point early in the month of March, Rosenblatt mentioned she wanted to “pay (me) back” for expenses I had incurred on her behalf—postage, envelopes, cups of coffee etc.

After a few weeks, Rosenblatt gave me either stamps or envelopes or a check. I do not recall which.

At some point, I concluded that Rosenblatt’s efforts would be better aimed at Independents. I told her that my daughter had worked for two Independent U.S. Senators—Angus King in Washington, and Bernie Sanders all over the country in his Presidential campaign—and Independents were often interested in taking a different approach to the horrific two-party political gridlock that grips our country nowadays. I told her at some point in March that, having spent a week or ten days in contacting my fellow Democrats in Scarborough, I would now attempt to seek support from as many Independents as I could muster. Joint efforts continued into early March, however, with both Democrats and Independents communicating on current or earlier communications with me.

Around this time, Coronavirus hit, and paralyzed, this nation.

My daughter announced March 6 she had been told to quarantine—because she had been working in

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both San Francisco and Los Angeles for the Sanders campaign in the California primary.

Soon thereafter, and over the next few weeks, President Trump began speaking out on the virus, and downplaying it etc. At some point in this period of time, he began his White House Briefings on it. By late March and early April, it became clear that people in Scarborough were dissatisfied with the President's performance on coronavirus, people of all parties.

Democrats I knew approached me in this time period and had two messages: 1) they were still resolute in not supporting Rep. Babine for re-election; but 2) they asked me to try to find a Democratic write-in candidate (by late March it was too late for anybody not yet enrolled as a Democrat to do so); they were so mystified by the President's seeming denial of most practical aspects of the virus that they no longer wanted to support Republican Annalee Rosenblatt, even though they continued to find her a reasonable, honest, mature, stable public person.

The second and third weeks of April were more of the same. It became clear that Annalee Rosenblatt would not be successful in gaining needed Democratic Party votes on Nov. 3. I needed to pursue another option for the good of citizens, and Democrats, of House District 29, Maine House of Representatives.

On April 25, 2020, I met with Annalee Rosenblatt personally at a public place in Scarborough, in a socially distanced safe manner. I informed her that, due to President Trump's conduct on coronavirus, and Scarborough Democrats' reactions to the conduct, I could no longer envision being able to get her any Democratic votes in the Nov. 3 election. I told her I needed to cease my work with her campaign. I told her I would be "going in a different direction," and, in fact, would be seeking a Democratic Party write-in who could hopefully win the July 14 Democratic Primary, and then win the general election on Nov. 3. I said I found it regrettable that societal events had suddenly made us unable to pursue our goal of defeating Rep. Babine, due to unfitness for office. I urged her "not to take personally" the fact that I would now be working in complete opposition to her, and not be involved in her campaign any more. I wished her well.

Shortly after that meeting, I e-mailed numerous Scarborough Democrats and Independents to inform them that I had ceased working with Annalee Rosenblatt, and would not be pursuing their requested goal—that I find a suitable Democratic Party write-in candidate. (Attached as Exhibit 11 is an April 25, 2020 e-mail from me to one active Democrat in Scarborough at 3:24 pm that day stating I had resigned from the Rosenblatt campaign).

Two days later, I typed the April 27, 2020 "Dear Fellow Democrat" letter. I spent several days hand-addressing 1,000 envelopes, stuffing them with the letter, and then applying my personal POBox return address, and a first-class US postage stamp. I mailed them in staggered bunches over several days—about 200 a day from about May 6 to about May 11 until I had mailed all 1000 letters. The process was time-consuming and labor-intensive.

These letters produced the same results as other efforts outlined above—voters were shocked to learn of the events, documented conduct, of Rep. Babine, if they didn't know already, and would not be supporting him, but the voters, for various reasons were not available themselves to run. Instead, they

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offered volunteer help, wanted to send notes and e mails to friends, and pledged financial support to any good candidate we could find.

On about May 15, my daughter announced to friends that she had decided to run for House District 29 seat as an Independent. She told me later that night. She then began the petition effort.

Let me conclude my response here by addressing the questions raised by the Commission in Mr. Wayne's letter to me dated August 5, 2020:

1. The Commission's letter to me incorrectly theorizes about "Annalee Rosenblatt's spending on the mailing" I did dated April 27, 2020. As stated above, I paid for this private mailing myself out of personal funds.
2. I respectfully contend that my April 27, 2020 letter had no reason "to state who financed the mailing, and whether Ms. Rosenblatt, or her political committee, authorized the mailing." I had resigned two days earlier from her campaign, and as her treasurer, in a personal meeting with her—the afternoon of April 25, 2020. Therefore, I had no reason to state either in this letter, or in any of dozens of other letters I sent personally or politically on April 26, 2020, or April 27, 2020 or after that, who paid for any letter I was sending. I had done all I needed to do to terminate my relationship with the campaign of Annalee Rosenblatt, a person whom I continue to hold with the highest regard and respect. I could not, of course, control what amendments she filed with state government, or when. My mailing had one goal—to recruit at least one Democratic Party write-in candidate.
3. I am happy to attend the September 30, 2020 meeting of your Commission in person or by Zoom, or telephone---whatever manner the Commission chooses. I will also make every effort to be available at any other date and time and place, should a change be needed.
4. I suggest the Commission request my daughter, Sophie Warren, participate in this Sept. 30, 2020 hearing at 9 am. I believe it would be helpful for Commission members and staff to inquire of her, in person or by Zoom, under oath, concerning the dates of her arrival back in Maine in March following the outbreak of coronavirus in the U.S., her activities and plans the next 30 and 60 days, and her decision to run for House District 29---whether this amounted to a change in career path for her, why she made the decision, and what influences there were on her in May 2020 by any person in Scarborough or elsewhere.
5. That, "at the time of my April 27, 2020 mailing, (I) was on record with (your) office as serving as (Annalee Rosenblatt's) campaign treasurer" is a fact I was not aware of. I resigned from her campaign on April 25, 2020, in person in a conversation with Annalee Rosenblatt. My mailing was 11 to 6 days later---the public receiving the letter as early as May 6, or shortly after May 11.

I believed that time period was sufficient for my April 25, 2020 resignation to be recorded with state

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government.

5. The “full costs of the mailing” were paid by me. I wrote a personal check (Town and Country Federal Credit Union of Scarborough, check #8361, dated 5/2/20 for \$550.00) for the postage stamps at the Scarborough Post Office. I did not request a receipt because I did not need one. I bought the two boxes of envelopes at Staples, Western Avenue, South Portland. I recall two boxes, with 500 in each. I recall the cost being less than \$100. I believe I paid in cash. I did not request a receipt because I did not need one.

6. As for “who was responsible for (the) content” of my April 27, 2020 “Dear Fellow Democrats” letter, I was. No one saw it ahead of time. No one reviewed it. (I am not even sure, to this day, if Annalee Rosenblatt has seen that letter. I certainly would have had no reason to show it to her prior to April 27, 2020, nor since mailing it).

7. The Commission’s last question to me in Jonathan Wayne’s Aug. 5, 2020 letter asks me “whether the letter expressly advocates Rep. Babine’s defeat.”

The answer is no.

The time for my seeking to find ANYONE to run against Babine had ended; I was seeking in the April 27 letter to find a Democratic write-in candidate. If, e.g., I had been advocating for one of Scarborough District 29 Green Party members to run, there could be no other goal my letter would have. In seeking a Democratic write-in, I was—seeking a Democratic write-in. Upon learning of a good, respected community leader coming forward to run as a Democratic Party write-in, Babine could have resigned the seat or spot on the July 14 ballot. The Scarborough Democratic Committee could also have urged him to do so.

My goal in sending the “Dear Fellow Democrat” was—as I stated in the letter--“to ask if (the recipient) would consider running as a Democratic Party write-in candidate for the Maine House of Representatives.”

It is true that I have made clear, since his departure from Libby-Mitchell Post 76 as Business Manager in disgrace, and the July 26, 2019 letter from Post Commander Phil Ceaser and Deputy Commander David Dolloff to Babine banning him from ever using the Libby-Mitchell name in political materials, that I believe Babine is unfit for public office.

But my focus in the April 27, 2020 was to seek and secure a good human being to serve as a Democratic Party write-in candidate for the July 14 Democratic Primary election. That was the necessary first step in a multi-step process.

My letter closed by confirming this theme: “I look forward to hearing from you, and hope a good and qualified citizen will step forward to run as a Democratic write-in candidate for the Maine House of Representatives” in District 29, Democratic primary.

8. In the Commission’s Aug. 5, 2020 letter to Annalee Rosenblatt, the Commission makes a statement without any factual foundation—attempting to tying an “expense paid” from Annalee Rosenblatt that she records as taking place on March 23, 2020 of \$137.50 to my April 27, 2020 letter. This is incorrect. As I state in my letter above, I incurred expenses in the weeks leading up to mid April by doing, among

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other things, sending notes, cards, and other communications to my Democratic and other friends in Scarborough and elsewhere, seeking votes, supports and donation for Annalee Rosenblatt. I kept her apprised of my efforts. She was appreciative. She said one day—apparently around March 23, 2020—that she wanted to reimburse me for any letters I had sent in which I had mailed copies of a brief bio of her I had sketched out at some point after our Feb. 23, 2020 coffee meeting. I said okay.

My recollection is she gave me some envelopes, and some postage stamps. This was designed to cover any other mailings of her press release in March and after that—postage, photocopying, envelopes.

Her “expense” of \$137.50 on or about March 23 had NOTHING to do with my April 27, 2020 mailing—which I had no idea of, nor need for, on or about March 23, 2020; at that time, I was still energetically working for Annalee Rosenblatt to get elected to the Maine House.

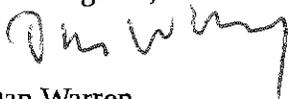
9. Your Aug. 5, 2020 letter to Annalee Rosenblatt asks about “whether your spending on the April 27, 2020 letter” violates law. She spent zero on my April 27, 2020 letter. Thus, the commission’s question – prompted by an over-eager guess at facts by inquiry-initiator Hendrickson--of whether there is a violation of law is, necessarily, of course, no.

10. Your Aug. 5, 2020 letter to Annalee Rosenblatt also asks Rosenblatt--“whether you authorized” my private mailing dated April 27, 2020. She did not. Nor was she asked to. I had resigned from her campaign, and we had cut ties. As of 3 pm April 25, 2020, my only role in the House 29 election was as a private citizen.

11. Your Aug. 5, 2020 letter to Annalee Rosenblatt invites her “to address the issue of whether the letter expressly advocates Rep. Babine’s defeat.” I have addressed this question above. The purpose of my mailing on April 27, 2020 was to seek a Democratic write-in candidate for the July 14 Democratic primary election. The opening paragraph made that clear. The final paragraph told letter recipients I looked forward to hearing from them “and hope that a good and qualified citizen will step forward to run as a Democratic write-in candidate.” The only reason I sent the letter was to get a good Democratic Party write-in candidate. Had I known (thru telepathic powers or some other way) that the effort would fail, I would not have done the letter. It was not a letter –as some are—simply advocating for Babine’s defeat. It sought someone better, a Democratic volunteer.

This distinction is important.

Best Regards,



Dan Warren

POBox 1

Scarborough 04070

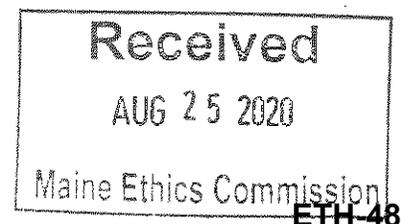
email: 1975Harvey@gmail.com

207-289-8216

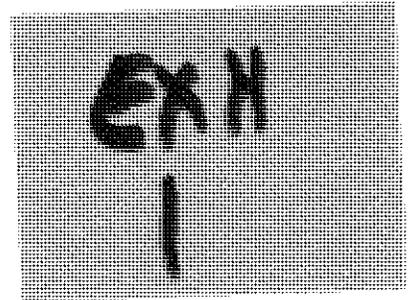
August 17, 2020

ATTACHMENTS TO RESPONSE TO ETHICS COMPLAINT CONCERNING PAST DECADE OF
ACTIVITIES OF REP. SHAWN BABINE

- EXHIBIT ONE---April 27, 2020 "Dear Fellow Democrat" letter from me to 1,000 Scarborough Democrats seeking a Democratic write-in candidate for the July 14 Democratic primary;
- EXHIBIT TWO—Photos of Rep. Babine's "confidential office" at Libby-Mitchell Post, and youth baseball equipment storage closet 55 feet away;
- EXHIBIT THREE-Libby-Mitchell Post advertisement in HOF induction banquet program of July 23, 2017;
- EXHIBIT FOUR—Libby-Mitchell Post July 27, 2020 letter to Rep. Babine banning use of Post name by him in political literature;
- EXHIBIT FIVE—Town Council minutes of June 3, 2015 meeting showing Babine departure;
- EXHIBIT SIX—Maine Democratic House website showing Babine untrue claim of Boys State position;
- EXHIBIT SEVEN—Scarborough Town clerk record of Babine being "blank balloted" by 550 fellow Democrats in July 14 Democratic primary;
- EXHIBIT EIGHT—Brochure for campaign of Sophia B. Warren for Maine House of Representatives, Scarborough Coastal District 29 2020;
- EXHIBIT NINE—Rachel Hendrickson/Shawn Babine July 22, 2020 complaint against Annalee Rosenblatt --eight days after Rep. Babine was "blank balloted" by 550 of his fellow Scarborough Democrats;
- EXHIBIT TEN—One-page press release by Annalee Rosenblatt announcing her candidacy for the Republican nomination for House District 29 seat in the Maine House of Representatives;
- EXHIBIT 11—E mail by me to Scarborough citizen [REDACTED] April 25 confirming my departure from Rosenblatt campaign;
- EXHIBIT 12—August 5, 2020 letter by Commission to me;
- EXHIBIT 13—Photocopy of my handwritten checkbook register showing check payable to Scarborough Post Office dated May 2, 2020 for \$550.00;
- EXHIBIT 14—August 5, 2020 letter by Commission to Annalee Rosenblatt;



April 27, 2020



P.O. Box 1
144 Spurwink Road
Scarborough, Maine 04070

Subject: Maine House of Representatives, Scarborough coastal region, District 29

Dear Fellow Democrat:

I am writing to you today to ask if you would consider running as a Democratic Party write-in candidate for the Maine House of Representatives seat in the part of Scarborough where we both live. The official "House district" is called District 29. The Democratic primary election is July 7, 2020, a Tuesday.

We have a troubling situation on our hands in Scarborough. Our current representative to the Legislature, Shawn Babine, has for several years now shown a pattern of poor decision-making, selfishness, and a serious problem with alcohol. I will provide you with detailed information below to support these troubling allegations.

Mr. Babine served as a volunteer bartender at the Libby-Mitchell American Legion Post 76 cash bar, and then as paid Business Manager at the Post from approximately 2014 to 2016. He handled the twice-weekly bank deposits to TD Bank North, and other Post business matters. He left amid accusations of financial mismanagement. On July 26, 2019, Post Commander Phil Ceaser and Past Commander David Dolloff, of the Post's Executive Committee, wrote Babine a letter banning him from using the Libby-Mitchell name "in any political literature of any type." This act of separation was unprecedented. Political representatives at all levels are routinely praised by Legion members. If you would like a copy of this letter, please let me know.

As the General Manager of the Libby-Mitchell youth baseball team for 20 years, I had occasion to observe Mr. Babine in his role as Business Manager.

Rep. Babine has shown a frequency of public intoxication. He can be seen often at various 501c3 organizations in southern Maine (Portland Eagles; Moose; Elks) and at local pubs, drinking to excess—and then calling home to get a ride. On June 3, 2015, Babine, then an elected member of the Scarborough Town Council, showed up visibly intoxicated for a Town Council meeting. He was "dropping F bombs," witnesses said, and the Council video recorder was shut off. Babine was called into an administrator's office, told he had driven drunk to the Council meeting, that he was not going to be allowed to drive home drunk, and to call his wife for a ride.

Page two

Fellow Councilors had to assist Babine to the parking lot, they told me, because he was "too drunk to walk."

That night of June 3 2015, , Rep. Babine sought—and received—preferential treatment from discipline due to being an elected public official. The minutes of the 6/3/15 Council meeting falsely claim he left the meeting "due to illness."

Babine, who has been elected to the Scarborough Council three times over 15 years—and each time resigned the office mid-term—served as director of Dirigo Boys State from 2017 to 2019. Boys State is a program, run by the Maine American Legion each summer in Waterville, that teaches young boys the importance of patriotism, community involvement and such values as honesty, dedication and loyalty. Last year, as a member of the national and local Sons of The American Legion, I petitioned the state Legion to terminate Babine from that summertime Dirigo part-time position. The Legion held a meeting, and removed Babine on Nov. 17, 2019. Let me know if you would like additional information on the action.

I believe Mr. Babine is unfit to hold the office of State Representative, or any publicly elected office. I registered to vote as a Democrat in 1975, shortly after graduating from Scarborough High School. This is the first time in 45 years I have actively worked against the election of a Democrat. It is a sad chapter in my life.

My father was a Navy pilot in World War II. When I returned to my hometown of Scarborough in 1983 to set up my law practice, he asked if I would get involved in the Libby-Mitchell American Legion Post 76 in Scarborough. I did. Organizing the Memorial Day parade float each May for the Post, and Scarborough Little League teams remains one of my fondest memories of my 37 years with the Post.

Growing up in Scarborough, America's veterans were a part of all school and sports activities. My classrooms K-12 benefited from visits from veterans of D-Day, Iwo Jima, Guadalcanal, the Korean War, and Vietnam. I served Scarborough in the Maine House of Representatives from 1984—88. . I was honored to be elected after that to a term on the Scarborough Town Council.

I have contacted Rep. Babine on four occasions since last summer about his conduct. He has not responded to detailed letters, phone calls, or requests for in-person meetings. Thank you very much for your time and consideration. I look forward to hearing from you, and hope a good and qualified citizen will step forward to run as a Democratic write-in candidate for the Maine House of Representatives on July 7.

Best Regards,



Dan Warren

Email: 1975Harvey@gmail.com

207-289-8216







*Ken Dolloff, D-Day veteran and long-time member
of the Libby-Mitchell "Baseball Committee"*

CONGRATULATIONS, DAN WARREN!

**"Thanks for honoring veterans and trying to
instill patriotism into our young baseball players"**

Past Commander of Libby-Mitchell American Legion Post 76,
Dave Dolloff, and the family of the late Ken Dolloff

376 Gorham Road, Scarborough, Maine



Thank You

EXH
3-A

Libby-Mitchell American Legion Post 76 of Scarborough salutes the general manager of our region ball club, Dan Warren, on his induction into the Maine Baseball Hall of Fame.

Good work Dan!

For 20 years, you:

— Ran the team professionally

— Hired top-notch coaches

— Asked legion members to come and throw out ceremonial first pitches

— Put the Libby-Mitchell post name back on the caps and shirts - Thank You!!

— Had the kids participate in the town's annual Memorial Day parade

— Fielded some good teams with boys from Scarborough, Cape Elizabeth, Windham and Gorham

— Established college scholarships

LIBBY-MITCHELL AMERICAN LEGION POST 76

P.O. Box 246

Scarborough, Maine 04070



LIBBY-MITCHELL POST NO. 76, INC.

AMERICAN LEGION

P.O. BOX 246, SCARBOROUGH, MAINE 04070-0246

July 26, 2019

Exhibit 4

Rep. Shawn Babine
1 Summerfield Lane
Scarborough, ME 04074

Dear Mr. Babine,

This letter is to formally request that you not mention Libby-Mitchell American Legion Post 76 of Scarborough in any political literature of any type by you or any campaign involving you, including campaign materials, videos, audio productions, or any social media or any other communications on your behalf.

Sincerely,

David Dolloff
Past Commander and
American Legion Post
Executive Committee

and

Philip Ceaser
Past Commander
and
American Legion Post
Executive Committee

**MINUTES
SCARBOROUGH TOWN COUNCIL
WEDNESDAY – JUNE 3, 2015
REGULAR MEETING – 6:30 P.M.**

Exhibit 5

Item 1. **Call to Order.** Council Chair Holbrook called the regular meeting of the Scarborough Council to order at 6:30 p.m.

Item 2. **Pledge of Allegiance.**

Item 3. **Roll Call.** The roll was called by Thomas J. Hall, Town Manager.
Shawn A. Babine [Left due to illness] Katherine A. St. Clair
William J. Donovan Edward NMI Blaise, III
Jean-Marie Caterina, Councilor V Pete F. Hayes
Jessica L. Holbrook

**LEFT
BECAME
HE WAS
INTOXICATED
OUT OF
CONTROL**

Order No. 15-040. Act on the request for a pursuant to Title 1, M.R.S.A. 405(6)(D) pertaining to Labor negotiations p. rough police Benevolent association. Motion by Councilor St. Clair, seconded to move approval on the request for an executive session pursuant to Title negotiations pertaining to the Scarborough police Benevolent Association (D) pertaining to Labor negotiations. Vote: 6 Yeas.

The Council adjourned at 6:31 p.m. Council Chair Holbrook reconvened the meeting at 7:01 p.m. Council Chair Holbrook noted that Councilor Babine had left the meeting due to being ill. She welcomed Councilor Caterina to the meeting.

Item 4. **General Public Comments.**

- Michael Doyle of Falmouth, spoke about an invoice that he had obtained through a Freedom of Access Request and it showed the cost of attorney fees relating to his Freedom of Access Requests for emails that the Town had paid. He further noted that he had submitted another request for more of these bills. He went on to comment on a complaint that two individuals who had filed against a Scarborough business owner. He then went on to compare the Town Council to ISIS at this point Council Chair Holbrook called Mr. Doyle out-of-order; Mr. Doyle continued to make comments to which the Council Chair called him out-of-order and asked Mr. Doyle to leave the meeting; Mr. Doyle continued to comment to which the Council Chair asked the Mr. Doyle be removed. That being said, Mr. Doyle left the meeting on his own.

Item 5. **Minutes: May 20, 2015 – Regular Meeting.** Motion by Councilor St. Clair, seconded by Councilor Blaise, to move approval of the regular meeting minutes of the May 20, 2015, regular meeting of the Scarborough Town Council.

Vote: 6 Yeas.

Item 6. **Adjustment to the Agenda.** None at this time.

Item 7. **Items to be signed:** a. Treasurer's Warrants. Treasurer's Warrants

Maine House Democrats

Representative Shawn Babine



Representative Shawn Babine



TERMINATED AS DIRIGO BOYS STATE DIRECTOR 11-17-19

UNTRUE SINCE 2017

EXH
6
ETH-57

07/14/2020--STATE PRIMARY/SPECIAL REFERENDUM
WARDEN'S RETURN OF VOTES CAST - DEMOCRATIC

MUNICIPALITY: SCARBOROUGH - 1 (1-1)

TOTAL BALLOTS Record the total number of State ballots cast (if no votes were cast, write "none" or "0").

Total Number of Ballots Cast: 3813

REP. TO CONGRESS (District 1)

3512 PINGREE, CHELLIE M.

283 BLANK

STATE SENATOR (District 30)

2460 BRENNER, STACY FIELDING

456 BLANK

REP. TO THE LEGISLATURE (District 27)

124 BAILEY, KYLE R.

25 BLANK

REP. TO THE LEGISLATURE (District 28)

1400 CAIAZZO, CHRISTOPHER J.

193 BLANK

REP. TO THE LEGISLATURE (District 29)

1503 BABINE, SHAWN A.

350 BLANK

REGISTER OF PROBATE

3200 DANIELS, NADEEN M.

595 BLANK

COUNTY COMMISSIONER (District 1)

3229 JAMIESON, NEIL D. JR.

566 BLANK

UNITED STATES SENATOR

2776 GIDEON, SARA I.

1168 KIDMAN, BRE

578 SWEET, ELIZABETH A.

273 BLANK

STATE SENATOR (District 29)

392 CARNEY, ANNE M.

163 GREENE, SARI S.

57 ROSE, EBEN CHRISTOPHER

107 BLANK

Exhibit 7

07/14/2020--STATE PRIMARY/SPECIAL REFERENDUM
WARDEN'S RETURN OF VOTES CAST - REFERENDUM

MUNICIPALITY: SCARBOROUGH - 1 (1-1)

TOTAL BALLOTS Record the total number of State ballots cast (if no votes were cast, write "none" or "0").

Total Number of Ballots Cast: 7000

QUESTION 1: BOND ISSUE

5058 YES

1807 NO

134 BLANK

QUESTION 2: BOND ISSUE

5350 YES

1553 NO

96 BLANK

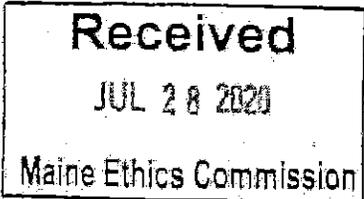
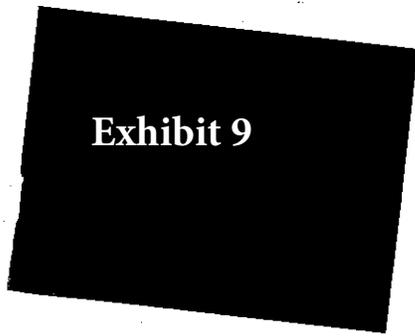
SOPHIE
FOR
SCARBOROUGH!

EXH 8

SOPHIA B. WARREN
INDEPENDENT
FOR
STATE REPRESENTATIVE
SCARBOROUGH COASTAL DISTRICT 29
NOV. 3RD, 2020

Paid for and authorized by Sophia B. Warren for Maine House of Representatives, Independent, Scarborough Coastal District 29; Adam Cohen, Treasurer; (207) 396-0461.

Ethics Commission
135 State House Station
Augusta, ME 04333
Attn: William Lee III



July 22, 2020

Honorable Commissioners,

The Scarborough Democratic Town Committee hereby requests an investigation into the campaign of Anjalee Rosenblatt, candidate for HD29. On April 27, 2020, the campaign treasurer, Daniel Warren, sent a mailing to numerous Scarborough Democrats expressly advocating for the defeat of her opponent, Rep. Shawn Babine. This scurrilous communication was signed by Warren, but with no disclosure that he was Rosenblatt's treasurer. In her 11 day Pre-primary report, Rosenblatt noted an expense by "DW" for postage expenses connected with that letter. We believe the letter and her campaign actions violate Section 1014.1 of Title 21-A.

In support of our contention, I call your attention to the following (and attached) documents:

April 27 letter from Dan Warren to "Fellow Democrat". The letter purports to be an attempt by Warren to solicit a Democrat to run in HD29 as a write in candidate (paragraphs 1 and 11 – first and last). The letter explicitly says "I believe Mr. Babine is unfit to hold the office of State Representative, or any publicly elected office. I registered to vote as a Democrat in 1975, shortly after graduating from Scarborough High School. This is the first time in 45 years I have actively worked against the election of a Democrat." Warren is expressly attempting to defeat Babine by seeking a Democrat to run against him, while he (Warren) is functioning as the Treasurer of Rosenblatt's campaign. See the candidate registration form dated March 11 (Marked 1), indicating that Warren was the campaign treasurer. He held that post until May 15 (see the candidate registration form marked 2) That letter did not indicate that Warren was attached to the Rosenblatt campaign. Seeking that mythical Democratic candidate was a pretext to smear candidate Babine and sow confusion among voters.

Warren does not reference Rosenblatt, simply smears the Democrat. He does not indicate he is the campaign Treasurer of Babine's opponent's campaign. Rosenblatt has no clear and conspicuous notice on the letter that it was authorized by her campaign. Likewise, there is no notice that it was NOT authorized by the candidate. This would lead the recipients to assume that Warren was writing as an individual.

On March 23, 24, 25 and 29, Warren's Facebook postings show that he was already engaged in Rosenblatt's campaign having become her treasurer on March 11. Copies attached; relevant wording highlighted. In addition to the original screen shots, I have attached copies for ease of reading and because of my lack of skill in getting clear screenshots.

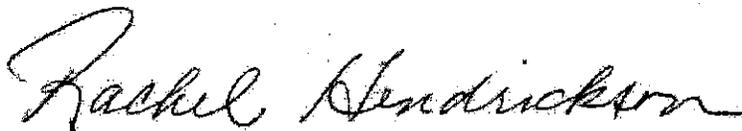
In these FaceBook posts, Warren referred to himself as "working" for her and supporting her, and telling Shawn "I would actively seek his defeat at the polls because I did not believe he was fit to serve the Scarborough public." (March 24 FB) That sentence is a precursor to the one highlighted in the April 27 letter.

I have attached a copy of the letter, with its envelope, given to me by Miriam Congdon, the recipient. The envelope return address is the one on the letter — Warren's personal and professional PO Box.

On 7/6/2000 Candidate Rosenblatt filed the 11-Day Pre-Primary Report. On Schedule B, there is a notation dated 3/23/20 of an expense of \$137.50 for the US Post Office. The remark states "Letter from DW". It appears that Rosenblatt was aware well in advance that Warren was going to write and send out a letter. There is another expense on the same date for envelopes from Staples. In the absence of a notation for printing, one is led to assume that Warren printed the letters himself, although there is no record of any in-kind contribution for that service.

Rosenblatt, in her 11-Day filing claimed the April 27 letter as coming from her campaign. It was written and sent by her Treasurer. It expressly advocated the defeat of her opponent, both in explicit wording and in the whole context of the letter — an attempt to recruit a Democratic write-in candidate to run against and defeat Babine in the primary. The letter contained no identification as coming from her campaign. We believe this is a violation of MRSA 21-A, 1014.1.

Should you need to reach me, my home phone is 207-510-1903. My cell is 207-210-5953.
Thank you for your consideration.



Rachel Hendrickson, Chair, Scarborough Democratic Town Committee

2 Plantation Dr.

Scarborough, ME 02074

April 27, 2020

P.O. Box 1
144 Spurwink Road
Scarborough, Maine 04070

Subject: Maine House of Representatives, Scarborough coastal region, District 29

Dear Fellow Democrat:

I am writing to you today to ask if you would consider running as a Democratic Party write-in candidate for the Maine House of Representatives seat in the part of Scarborough where we both live. The official "House district" is called District 29. The Democratic primary election is July 7, 2020, a Tuesday.

We have a troubling situation on our hands in Scarborough. Our current representative to the Legislature, Shawn Babine, has for several years now shown a pattern of poor decision-making, selfishness, and a serious problem with alcohol. I will provide you with detailed information below to support these troubling allegations.

Mr. Babine served as a volunteer bartender at the Libby-Mitchell American Legion Post 76 cash bar, and then as paid Business Manager at the Post from approximately 2014 to 2016. He handled the twice-weekly bank deposits to TD Bank North, and other Post business matters. He left amid accusations of financial mismanagement. On July 26, 2019, Post Commander Phil Ceaser and Past Commander David Dolloff, of the Post's Executive Committee, wrote Babine a letter banning him from using the Libby-Mitchell name "in any political literature of any type." This act of separation was unprecedented. Political representatives at all levels are routinely praised by Legion members. If you would like a copy of this letter, please let me know.

As the General Manager of the Libby-Mitchell youth baseball team for 20 years, I had occasion to observe Mr. Babine in his role as Business Manager.

Rep. Babine has shown a frequency of public intoxication. He can be seen often at various 501c3 organizations in southern Maine (Portland Eagles; Moose; Elks) and at local pubs, drinking to excess—and then calling home to get a ride. On June 3, 2015, Babine, then an elected member of the Scarborough Town Council, showed up visibly intoxicated for a Town Council meeting. He was "dropping F bombs," witnesses said, and the Council video recorder was shut off. Babine was called into an administrator's office, told he had driven drunk to the Council meeting, that he was not going to be allowed to drive home drunk, and to call his wife for a ride.

Page two

Fellow Councilors had to assist Babine to the parking lot, they told me, because he was "too drunk to walk."

That night of June 3, 2015, Rep. Babine sought—and received—preferential treatment from discipline due to being an elected public official. The minutes of the 6/3/15 Council meeting falsely claim he left the meeting "due to illness."

Babine, who has been elected to the Scarborough Council three times over 15 years—and each time resigned the office mid-term—served as director of Dirigo Boys State from 2017 to 2019. Boys State is a program, run by the Maine American Legion each summer in Waterville, that teaches young boys the importance of patriotism, community involvement and such values as honesty, dedication and loyalty. Last year, as a member of the national and local Sons of The American Legion, I petitioned the state Legion to terminate Babine from that summertime Dirigo part-time position. The Legion held a meeting, and removed Babine on Nov. 17, 2019. Let me know if you would like additional information on the action.

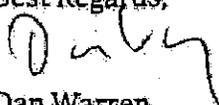
I believe Mr. Babine is unfit to hold the office of State Representative, or any publicly elected office. I registered to vote as a Democrat in 1975, shortly after graduating from Scarborough High School. This is the first time in 45 years I have actively worked against the election of a Democrat. It is a sad chapter in my life.

My father was a Navy pilot in World War II. When I returned to my hometown of Scarborough in 1983 to set up my law practice, he asked if I would get involved in the Libby-Mitchell American Legion Post 76 in Scarborough. I did. Organizing the Memorial Day parade float each May for the Post, and Scarborough Little League teams remains one of my fondest memories of my 37 years with the Post.

Growing up in Scarborough, America's veterans were a part of all school and sports activities. My classrooms K-12 benefited from visits from veterans of D-Day, Iwo Jima, Guadalcanal, the Korean War, and Vietnam. I served Scarborough in the Maine House of Representatives from 1984—88. I was honored to be elected after that to a term on the Scarborough Town Council.

I have contacted Rep. Babine on four occasions since last summer about his conduct. He has not responded to detailed letters, phone calls, or requests for in-person meetings. Thank you very much for your time and consideration. I look forward to hearing from you, and hope a good and qualified citizen will step forward to run as a Democratic write-in candidate for the Maine House of Representatives on July 7.

Best Regards,


Dan Warren

Email: 1975Harvey@gmail.com

207-289-8216

PO Box
04070

SA. MAINE PSECO 1240

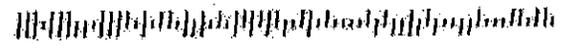
07 MAY 2023 PM 3 L



FOREVER / USA

Miriam Congdon
16 Lilac Ln
Scarborough, ME 04074

04074-711216





Commission on Governmental Ethics and Election Practices
Mail: 135 State House Station, Augusta, Maine 04333
Office: 45 Memorial Circle, Augusta, Maine
Website: www.maine.gov/ethics
Phone: 207-287-4179
Fax: 207-287-6775

2020 REGISTRATION: CANDIDATE

CANDIDATE	
Ms. Annalee Z Rosenblatt 18 Tall Pines Road Scarborough, ME 04074 Party Affiliation: Republican Office Sought: Representative/29 District or County: STATE Financing Status: Privately Financed	Public: (207) 883-9025 Fax: (207) 883-2257 Email: azr5209@aol.com
TREASURER	
Dan Warren PO Box 1 Scarborough, ME 04070-0001	Public: Fax: Email: 1975harvey@gmail.com
DEPUTY TREASURER	
POLITICAL COMMITTEE	
COMMITTEE OFFICERS	
CERTIFICATION	
I, Ms. Annalee Z Rosenblatt, certify that the information in this registration is true, accurate, and complete.	
SIGNATURE	Date: March 11, 2020

MAKING A FALSE STATEMENT UNDER OATH OR AFFIRMATION IS A CLASS D CRIME (17-A M.R.S.A. § 452).

Filed Date: 3/11/2020
Amended Date:

Candidate Registration

2



Commission on Governmental Ethics and Election Practices
 Mail: 135 State House Station, Augusta, Maine 04333
 Office: 45 Memorial Circle, Augusta, Maine
 Website: www.maine.gov/ethics
 Phone: 207-287-4179
 Fax: 207-287-6775

2020 REGISTRATION: CANDIDATE

CANDIDATE	
Ms. Annalee Z Rosenblatt 18 Tall Pines Road Scarborough, ME 04074 Party Affiliation: Republican Office Sought: Representative/29 District or County: STATE Financing Status: Privately Financed	Public: (207) 883-9025 Fax: (207) 883-2257 Email: azr5209@aol.com
TREASURER	
Matthew Rosenblatt 18 Tall Pines Road Scarborough, ME 04074	Public: Fax: Email: roseypuck@yahoo.com
DEPUTY TREASURER	
POLITICAL COMMITTEE	
COMMITTEE OFFICERS	
CERTIFICATION	
I, Ms. Annalee Z Rosenblatt, certify that the information in this registration is true, accurate, and complete.	
SIGNATURE	Date: May 15, 2020

MAKING A FALSE STATEMENT UNDER OATH OR AFFIRMATION IS A CLASS D CRIME (17-A M.R.S.A. § 452).

Filed Date: 3/11/2020
 Amended Date: 5/15/2020

Candidate Registration

8

Dan Warren Posts — copies directly from his FB page.

Dan Warren FB post, March 23 (copied)

Every challenge we go thru in life, such as coronavirus, provides a chance for introspection and growth. For me, it has resulted in a decision I've never made in 43 years — working for the state election of a Republican. I believe that I am unable to vote for any candidate who supports the Democratic incumbent in Scarborough for the House of Representatives. I have decided to support Annalee Rosenblatt for the Maine House of Representatives. I am going to do what is right in my heart, and wish the very best of all years, Annalee Rosenblatt. I hope you'll examine the legislative track record of the good human being Dan Warren of the Maine Legislature.

March 24

The outpouring of support received for Annalee Rosenblatt's campaign for the Maine House of Representatives from Scarborough since my Facebook post yesterday has been overwhelming—and from a variety of sources. No. 1 is Mothers Against Drunk Drivers. MADD has been the most moving and emotional. Several members of MADD nationally have contacted me asking to help. (In the photo here is one of several car crash scenes that one MADD member sent me to remind us all of what happens when a known drunk driver is allowed to operate frequently on our highways. To the right of the photo is a sample of a hard liquor bottle, available for purchase and stores, with a "handle" on it—of the type of that State Rep. Shawn Babine turned into 24 oz. drinking "mugs" three years ago in what he thought was an amusing fundraiser. There is more information on that below [\(a\)\(b\)\(c\)\(d\)](#)).

You deserve to know why MADD members are so interested in supporting Annalee Rosenblatt for the Legislature, and why they are so opposed to incumbent Democratic representative Shawn Babine. [\(a\)\(b\)\(c\)\(d\)](#)

Here is the background factual information.

On June 3, 2015, State Rep. Babine — then an elected member of the Scarborough Town Council—showed up, inebriated, at a Scarborough Town Council meeting. I was required to interview seven witnesses to that evening's events as part of my efforts [\(a\)\(b\)\(c\)\(d\)](#) to document what happened, so I could inform the state American Legion and others.

The headline of the evening was that Councilor (now State Rep.) Babine went into the Council chambers, "dropping F bombs," and the video recorder that records all such public meetings in Scarborough was abruptly shut off. Councilor Babine was called into a private office, was told that he had "driven to the meeting drunk"—and was "not going to be allowed to drive home drunk." Babine was instructed to call his wife. He did. One of his fellow councilors told me she had to help Babine out to the parking lot to his wife's vehicle that night because "he was too drunk to stand up and walk."

Another witness told me he had watched Babine stumble into the meeting from the parking lot. "He had to prop him self up on several cars along the way, the way you do when you have an icy parking lot," the witness told me. [\(a\)\(b\)\(c\)\(d\)](#)

As part of the evening's events, and the follow up, Babine requested—and received — preferential treatment from any action being taken against him, on the grounds that he was an elected public official in Scarborough. [\(a\)\(b\)\(c\)\(d\)](#)

This was stunning to learn. MADD members were also shocked.

"We has been told he had been charged with OUI, and that the jury somehow acquitted him," one said to me yesterday. Not true, I informed them. No action, administrative or otherwise, was ever taken against Councilor (now-State Rep.) Babine for his conduct.

(Further background — Babine has run for Scarborough Town Council three times, gotten elected all three times, and resigned in the middle of the term each time. His latest resignation was in 2019.) Meanwhile, Rep. Babine had served as the director of the Maine Dirigo Boys State program, run by the state American Legion each summer, for two years, the last one in 2019. Babine was suddenly terminated from that position on Nov. 17, 2019.

The Libby-Mitchell American Legion Post 76 in Scarborough is an organization I have volunteered with for 37 years. I was asked by my father, a World War II Navy Pilot, to get involved in 1983, the first year I opened the law practice in Scarborough, my home town. He asked me if I would "help out the boys at the Legion" with any projects they needed assistance with. I soon became a member of the national and local Sons of Legion program.

In 2017, I concluded 20 years as general manager and one of the coaches for the youth baseball team run by the Libby-Mitchell Post. In the last two years, I got involved again with American Legion baseball efforts, this time donating all of the baseball equipment that I had at a field at my house to the Legion so it could be set up in back of the Post building and used by my friend Rick Libby, who has been coaching the Scarboro seventh grade boys team 3 years. We have worked closely with Commander Phil Ceaser and Past Commander Dave Dolloff on this youth baseball project.

The Dirigo Boys State program has always been near and dear to my heart. It is a program that teaches teenaged boys in Maine about leadership, civic involvement, character and integrity.

My father was one of 10 veterans/"signatories" statewide in the early 1950s who signed paperwork officially incorporating the Dirigo organization.

When I concluded my investigation in July 2019 about Babine's activities; his public drunkenness on several occasions; the repeated times that I and others have seen him driving intoxicated in Scarborough, weaving over lines, taking unnecessarily broad turns onto streets to avoid hitting curbs; and resignations, I was astounded to learn that he was the head of the Dirigo program.

I immediately contacted Babine, and had hand-delivered to him a multi-page letter, informing him that I would be seeking his termination from the program. I offered to meet with him in person. I asked him if there was any information about himself that he needed to provide me so that I had an accurate and complete view of him and his activities. I got no response from him (That was one of four detailed letters I have had hand-delivered to him over the past nine months, informing him of my plans, and offering to meet with him).

In November 2019, I notified the state American Legion of Babine's history, and requested his termination from the Boys State program. I presented the evidence and documentation to them, including minutes of the June 3, 2015 meeting, and his request for preferential treatment; and information about his three resignations from public office in Scarborough. As a citizen of Maine and member of the Libby-Mitchell Post Sons of Legion, I officially requested State Rep. Babine's removal from the program.

The state legion met immediately, on Sunday, November 17. They considered the evidence and informed me the next day by voicemail that they had voted to remove him from the program. (Babine was then given the standard offer that many people are in the human resources field — he "could either resign or be fired." He chose to resign).

Unfortunately, Babine has attempted to tell different organizations in Scarborough that he "stepped down voluntarily" because of other commitments or because the Dirigo program was changing. That is a mischaracterization of events. Is it it is a deceitful attempt by him to hide from the public his problematic past.

After the Dirigo vote, I wrote a letter to Babine confirming that he had been the subject of a vote at the state legion level to remove him from their Boys State program, and telling him he ought to consider resigning from the legislature, or at least not running again. I told him I would actively seek his defeat at the polls because I did not believe he was fit to serve the Scarborough public. He did not respond.

In the fall of 2019, I spent six weeks contacting every influential Democrat in Augusta and provided information about Rep. Babine. I urged them to speak with him, get him to step aside, and spend time with his family addressing what appeared to be a significant problem in his life. I was told by one high-ranking official: "Rep. Babine is a rising star in the state Democratic Party. We have no intention of urging him to resign because of unproven allegations about him." I pointed out that the minutes of the Scarborough Council meeting documented his abrupt departure from the meeting only minutes after arriving. I offered these state officials the names and telephone numbers of people they could talk to to verify events. I gave them documentation about Babine's elections to the Scarborough Council, and his resignations each time midterm.

I said they are not unproven allegations. They are facts. These officials stood firmly behind their fellow party member.

The local Scarborough Democrats also showed no inclination to confront Babine about his conduct. They appeared instead very pleased simply that a Democrat had been elected and sent to represent them in Augusta.

(In fact, one prominent local Democrat had her photo taken with Babine at the Statehouse and their smiling photo appeared in one of Rep. Babine's Scarborough taxpayer mailings to the district.

On Saturday, I sent out 630 emails to voters informing them that I was supporting a Republican, Annalee Rosenblatt, for the legislature, and attaching a press release that she had sent out that day. I received 211 responses, 208 positive about Annalee and my choice to put my town and state over my political party. One was neutral. One went on a tirade about President Trump. The final one was from a fellow Democrat who said: "You have abandoned the Democratic Party." My response? "I haven't abandoned my Democratic Party here. My Democratic Party has abandoned me."

(If this type of conduct is what the Democratic Party is seeking for its "rising stars," I am heartbroken. And if I am required to "honor" my political party by dishonoring my town and state, that I will not do. This is a sad chapter we are forced to confront.

MARCH 25

This is the saddest post I have ever done on Facebook. But Scarborough voters deserve to know the truth, all of it.

An item I did on Facebook two days ago announcing my support for the first Republican I have ever worked for in 45 years, Annalee Rosenblatt, brought a torrent of supportive phone calls and emails from veterans — offering to help her. They are veterans of all political parties. They are from Scarborough and all over the state.

This jubilation, however, was tempered by the fact that some of that support originated from the anger of veterans over the exploitation by State Rep. Shawn Babine — while paid Business Manager of the Libby- Mitchell Post in Scarborough — of that position of trust with senior citizens.

Today, as part of this Facebook post, there is a photograph here of two different documents. The photo on the left is of a July 26, 2019 letter from the Libby- Mitchell Post to Rep. Babine — prohibiting him from ever using the Post name in any political materials of any kind. The photo on the right is the one-page Minutes of the Meeting of the June 3, 2015 Scarborough Town Council meeting at which Babine, then an elected member of the Town Council, showed up visibly intoxicated, was told he was not going to be allowed to drive home drunk — then sought (and received) preferential treatment as an elected official to escape any punishment. The

minutes say "Councilor Babine left due to illness." That is simply not true. He left because he was too drunk to stay and act in a civilized manner. Seven different witnesses confirm this. (Some background on Babine: Approximately eight years ago, Rep. Babine approached the Scarborough American Legion Post and asked if he could volunteer. Because he had no connection to the Post, most of us thought his request was odd. But the senior-citizen leaders of the Post—towering giants who had been at Omaha Beach, Guadalcanal, Iwo Jima, Pork Chip Hill, Korea, Vietnam, Iraq, and Afghanistan—were thrilled to have some "new blood.")

Rep. Babine began as a bartender at the Legion cash bar, then volunteered to do bookkeeping, and make the weekly cash bank deposits. He was eager to help with the handling of cash from the bar, and cash in the gambling vending machine at the Post.

Soon, Babine sought to be hired as paid Business Manager. When he requested a paying job, he confessed to the Post that he had a sketchy history of employment.

"I have worked as a cashier at Sam's Club for awhile," he said. "I was a mortgage broker in Scarborough for awhile. I was the representative of my college fraternity to the national chapter for a period of time. I can't seem to hold a job more than 3 to 6 months. I never seem to get a fair shake from employers."

The Legion members were sympathetic and willing to give him an opportunity; they hired him. They trusted him.

(BTW—In my 20 years running the Libby-Mitchell Post 76 American Legion baseball program with Scarborough sports legend Rick Libby, our team storage closet for baseballs and scorebooks was on the second floor of the Legion Hall—across the room from a "confidential office" Babine persuaded Legion members to allow him to set up. Babine and I were often at the Post at the same time, though I have never met him....).

After the election of a new commander approximately three years ago, Babine was no longer involved in any activities at all at the Post. That status remains to this day.

When Babine was elected to the state legislature in November 2018, as a result of the so-called "blue wave" for him—and other members of my Democratic Party nationwide—he began telling people in Augusta of his "great love" for the American Legion and the Libby-Mitchell Post 76.

Word got back to the Post. The Post was outraged at his deceit.

The result was a letter from Commander Phil Ceaser and Past Commander David Dolloff to Babine, stating: "Dear Rep. Babine: This letter is to formally request that you not mention the Libby-Mitchell American Legion Post 76 in any political literature" of any type at any time. The action is unprecedented. It floors me to read, to this day.

If you grew up in Scarborough in the 1960s and 70s, many of your role models were World War Two veterans. My father was a Navy pilot. Ken Dolloff was at D-Day. Two others involved in Scarborough baseball, Sonny Noel and Luther Small, were also at Omaha Beach on June 6, 1944.

Commander Phil Ceaser is a Gulf War veteran. Past Commander David Dolloff was a soldier in Vietnam. Gene Gutter was a WWII POW. All threw out ceremonial first pitches at our Legion baseball games. The players met them. Coach Rick Libby insisted on it.

There were dozens and dozens more Scarborough veterans we all looked up to, admired, and whose sacrifice we knew made us free—and citizens of the greatest country on the face of the earth.

(Scarborough resident Mark Dyer has written a wonderful history of many of these veterans. Rodney Laughton and Bruce Thurlow have featured veterans' stories at our Scarborough

Historical Society regularly. "We stand on the shoulders of those who have come before us," I heard Rodney tell Middle Schoolers one day at a Library presentation).

To watch somebody, such as Rep. Babine, wriggle their way into a position of trust with older men in their 70s and 80s, and then abuse that trust was as sad, as it was shocking.

Most of the members of the Post were embarrassed, and did not like talking about it. Some were angry.

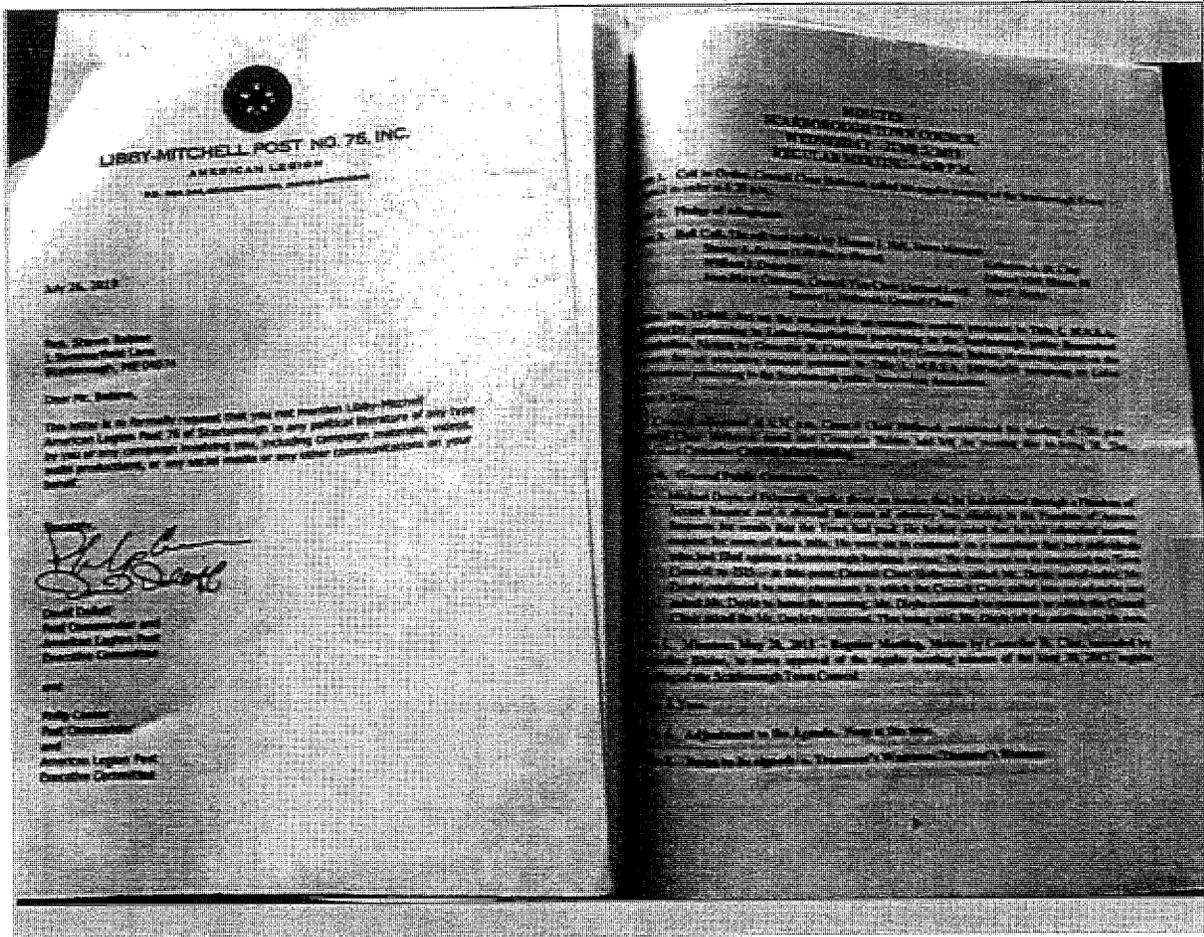
Little did they know when they hired him they were making a mistake.

Today, in addition to being a part-time member of the Maine Legislature, Rep. Babine is an Uber driver.

(Sadly, his political allies in Scarborough, make light of the fact that Babine, whose voluminous drunk-driving events are well known in Scarborough, is now in a position where his job has him driving into the city of Portland at 2 AM and picking up people who are too intoxicated to drive. Their humor is a disgrace to our Town, and the Maine Legislature).

This combination of bad activities by Rep. Babine caused the state American Legion on Sunday Nov. 17, 2019, to terminate him from his position as director of the Dirigo Boys State program. These bad actions—including running for Scarborough Town Council three times, getting elected each time, and resigning each time midterm, —should cause Scarboro voters also to vote him out of office from his position as State Representative for Maine House of Representatives, District 29 (the so-called "coastal district" of Scarborough).

I will be working as hard as I can the next eight months to elect my friend Annette Rosenblatt, a Republican, to that State Representative position, and—in doing so, restore the traditions of dignity, integrity, honesty and reliability in my hometown of Scarborough. I urge you to join me in this vital quest.



8181
 31 Comments
 14 Shares

March 29 ·

The disgraceful saga of State Rep. Shawn Babine is a stark reminder of the Edmund Burke quote—“The only thing necessary for the triumph of evil is for good people to do nothing.” Rep. Babine, enabled by many in Augusta and Scarborough, has scourged our town with (e.g.)—frequent drunk driving; embarrassing public drunkenness (including the June 3, 2015 Town Council meeting—and a request for “preferential treatment” from sanctions^(S)); a financial performance as Libby- Mitchell Post 76 treasurer that got him banned for life; 3 resignations from 3 separate Council terms; and his 2019 removal as Dirigo Boys State director. Legislative leaders from my Maine Democratic Party (all briefed by me) have enabled Babine, and provided him “cover.” Political allies have exploited Babine, declined to urge him to get help, or job training; and were silent in 2015 on the biggest Town Hall scandal in 20 years. It is time for Scarborough voters to say— ENOUGH. A sad time for my home town.... Annaltee Rosenblatt is the first Republican I have ever worked for in my life. She will bring back honor, intelligence, and integrity to the Maine House of Representatives. (Video)

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Scarborough Dan

2 hrs ·

The outpouring of support received for Annalee Rosenblatt's campaign for the Maine House of Representatives from Scarborough since my Facebook post yesterday has been overwhelming—and from a variety of sources. No. 1 is Mothers Against Drunk Drivers. MADD has been the most moving and emotional. Several members of MADD nationally have contacted me asking to help. (In the photo here is one of several car crash scenes that one MADD member sent me to remind us all of what happens when a known drunk driver is allowed to operate frequently on our highways. To the right of the photo is a sample of a hard liquor bottle, available for purchase and stores, with a "handle" on it—of the type of that State Rep. Shawn Babine turned into 24 oz. drinking "mugs" three years ago. In what he thought was an amusing fundraiser. There is more information on that below.)

You deserve to know why MADD members are so interested in supporting Annalee Rosenblatt for the Legislature, and why they are so opposed to Incumbent Democratic representative Shawn Babine.

Here is the background factual information.

On June 3, 2015, State Rep. Babine—then an elected member of the Scarborough Town Council—showed up, inebriated, at a Scarborough Town Council meeting. I was required to interview seven witnesses to that evening's events as part of my efforts to document what happened, so I could inform the state American Legion and others.

The headline of the evening was that Councilor (now State Rep.) Babine went into the Council chambers, "dropping F bombs," and the video recorder that records all such public meetings in Scarborough was abruptly shut off. Councilor Babine was called into a private office, was told that he had "driven to the meeting drunk"—and was "not going to be allowed to drive home drunk." Babine was instructed to call his wife. He did. One of his fellow councilors told me she had to help Babine out to the parking lot to his wife's vehicle that night because "he was too drunk to stand up and walk."

Another witness told me he had watched Babine stumble into the meeting from the parking lot. "He had to prop himself up on several cars along the way, the way you do when you have an icy parking lot," the witness told me. As part of the evening's events, and the follow-up, Babine requested—and received—preferential treatment from any action being taken against him, on the grounds that he was an elected public official in Scarborough. This was stunning to learn. MADD members were also shocked.

"We has been told he had been charged with OUI, and that the jury somehow acquitted him," one said to me yesterday. Not true, I informed them. No action, administrative or otherwise, was ever taken against Councilor (now State Rep.) Babine for his conduct.

(Further background—Babine has run for Scarborough Town Council three times; gotten elected all three times, and resigned in the middle of the term each time. His latest resignation was in 2019.)

Meanwhile, Rep. Babine had served as the director of the Maine Dingo Boys State program, run by the state American Legion each summer, for two years, the last one in 2019. Babine was suddenly terminated from that position on Nov. 17, 2019.

The Libby-Mitchell American Legion Post 76 in Scarborough is an organization I have volunteered with for 37 years. I was asked by my father, a World War II Navy Pilot, to get involved in 1983, the first year I opened the law practice in Scarborough, my home town. He asked me if I would "help out the boys at the Legion" with any projects they needed assistance with. I soon became a member of the national and local Sons of Legion program. In 2017, I concluded 20 years as general manager and one of the coaches for the youth baseball team run by the Libby-Mitchell Post. In the last two years, I got involved again with American Legion baseball efforts, this time donating all of the baseball equipment that I had at a field at my house to the Legion so it could be set up in back of the Post building and used by my friend Rick Libby, who has been coaching the Scarboro seventh grade boys team 3 years. We have worked closely with Commander Phil Ceaser and Past Commander Dave Dolloff on this youth baseball project.

The Dingo Boys State program has always been near and dear to my heart. It is a program that teaches teenaged boys in Maine about leadership, civic

Suggested Pages

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Heather Sanborn

Malory and 22 other friends like this.

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Dan Waxman

Diane and Dory like this.

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Re-Elect Joyce "Jay"

McCreeght

Jeremy and 26 other friends like this.

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Rachel

Name

Email

76

who signed paperwork officially incorporating the Dirigo organization. When I concluded my investigation in July 2019 about Babine's activities; his public drunkenness on several occasions; the repeated times that I and others have seen him driving intoxicated in Scarborough, weaving over lines; taking unnecessarily broad turns onto streets to avoid hitting curbs; and resignations, I was astounded to learn that he was the head of the Dirigo program.

I immediately contacted Babine, and had hand-delivered to him a multi-page letter, informing him that I would be seeking his termination from the program. I offered to meet with him in person. I asked him if there was any information about himself that he needed to provide me so that I had an accurate and complete view of him and his activities. I got no response from him (That was one of four detailed letters I have had hand-delivered to him over the past nine months, informing him of my plans, and offering to meet with him).

In November 2019, I notified the state American Legion of Babine's history, and requested his termination from the Boys State program. I presented the evidence and documentation to them, including minutes of the June 3, 2015 meeting, and his request for preferential treatment, and information about his three resignations from public office in Scarborough. As a citizen of Maine and member of the Libby- Mitchell Post Sons of Legion, I officially requested State Rep. Babine's removal from the program.

The state legion met immediately, on Sunday, November 17. They considered the evidence and informed me the next day by voice mail that they had voted to remove him from the program. (Babine was then given the standard offer that many people are in the human resources field - he "could either resign or be fired." He chose to resign).

Unfortunately, Babine has attempted to tell different organizations in Scarborough that he "stepped down voluntarily" because of other commitments or because the Dirigo program was changing.

That is a mischaracterization of events. Is it a deceitful attempt by him to hide from the public his problematic past.

After the Dirigo vote, I wrote a letter to Babine confirming that he had been the subject of a vote at the state legion level to remove him from their Boys State program, and telling him he ought to consider resigning from the legislature, or at least not running again. I told him I would actively seek his defeat at the polls because I did not believe he was fit to serve the Scarborough public. He did not respond.

In the fall of 2019, I spent six weeks contacting every influential Democrat in Augusta and provided information about Rep. Babine. I urged them to speak with him, get him to step aside, and spend time with his family addressing what appeared to be a significant problem in his life. I was told by one high-ranking official: "Rep. Babine is a rising star in the state Democratic Party. We have no intention of urging him to resign because of unproven allegations about him." I pointed out that the minutes of the Scarborough Council meeting documented his abrupt departure from the meeting only minutes after arriving. I offered these state officials the names and telephone numbers of people they could talk to to verify events. I gave them documentation about Babine's elections to the Scarborough Council, and his resignations each time midterm.

I said they are not unproven allegations. They are facts. These officials stood firmly behind their fellow party member.

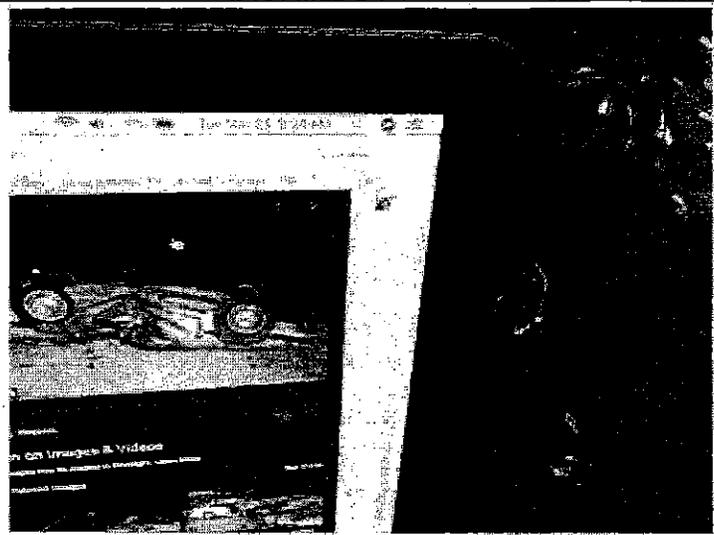
The local Scarborough Democrats also showed no inclination to confront Babine about his conduct. They appeared instead very pleased simply that a Democrat had been elected and sent to represent them in Augusta.

(In fact, one prominent local Democrat had her photo taken with Babine at the Statehouse and their smiling photo appeared in one of Rep. Babine's taxpayer mailings to the district.

On Saturday, I sent out 630 emails to voters informing them that I was supporting a Republican, Annalee Rosenblatt, for the legislature, and attaching a press release that she had sent out that day. I received 211 responses, 208 positive about Annalee, and my choice to put my town and state over my political party. One was neutral. One went on a tirade about President Trump. The final one was from a fellow Democrat who said: "You have abandoned the Democratic Party." My response? "I haven't abandoned my Democratic Party here. My Democratic Party has abandoned me." (If this type of conduct is what the Democratic Party is seeking for its "rising stars," I am heartbroken. And if I am required to "honor" my political party by

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Reveal Home Create



22 7 Comments

Like Comment Share

- 
Mark S. Jackson Thank you for having the guts to post this.
 Like · Reply · 2h 3
- 
Wanda Gower Warrey I would sincerely vote for her. Alas, I do not live in her District.
 Like · Reply · 2h 1
- 
David Dolloff At a boy Dan!
 Like · Reply · 2h 4
- 
Peter Carpenter Wow
 Like · Reply · 1h
- 
Mary St Pierre Cattadoris Thanks for sharing this information.
 Like · Reply · 1h 1
- 
Jaimelynn Spaulding Thank you for not taking sides of "what party you belong to" and doing what is right.
 Like · Reply · 57m
- 
Ann LaVangie Lackey Thank you for posting Dan.
 Like · Reply · 19m
- 
 Write a comment...

21

16
ETH-76

Scarboro Dan

Rachel Home Create



Scarboro Dan

Add Friend

Message

Timeline About Friends 21 Mutual Photos More

DO YOU KNOW SCARBORO?

To see what he shares with friends, send him a friend request.

Add Friend

21 Mutual Friends

Intro

Boy, has Scarboro changed a lot in the last 37 years. Most of it good.

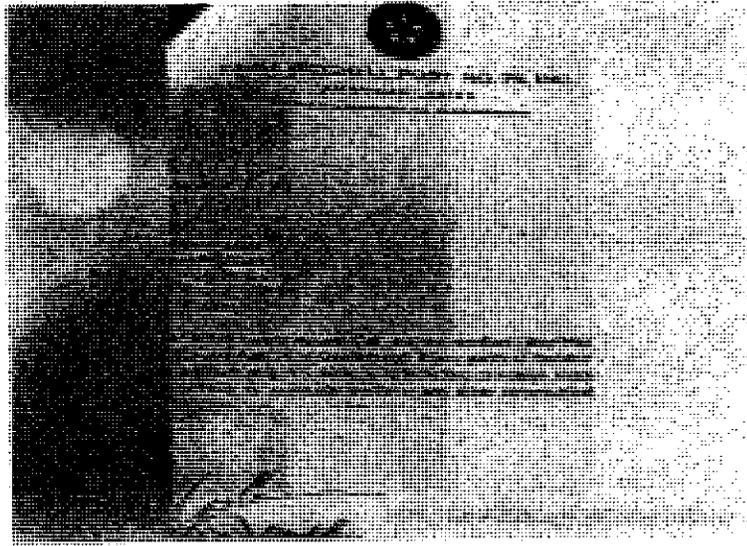
- Lawyer at Jones & Warren Attorneys at Law
- Worked at Million Dollar Advocates Forum
- Studied at University of Maine School of Law
- Studied at University of Maine
- Went to Scarborough High School
- Lives in Scarborough, Maine
- From Scarborough, Maine
- Manages Jones & Warren Attorneys at Law

Photos



Scarboro Dan 2 hrs

The sad, troubling saga of State Rep. Shawn Babine is a stark reminder that —“The only thing necessary for the triumph of evil is for good people to do nothing.” Rep. Babine, enabled by many in Augusta and Scarborough, has saddled our town with (e.g.) —frequent drunk driving, embarrassing public drunkenness (including the June 3, 2015 Town Council meeting—and a request for “preferential treatment” from sanctions); a financial performance as Libby- Mitchell Post 76 treasurer that got him banned for life; 3 resignations from 3 separate Council terms; and his 2019 removal as Dirigo Boys State director. Legislative leaders from my Maine Democratic Party (all briefed by me) have enabled Babine, and provided him “cover.” Political allies have exploited Babine, declined to urge him to get help, or job training; and were silent in 2015 on the biggest Town Hall scandal in 20 years. It is time for Scarborough voters to say— ENOUGH. A sad time for my home town..... Annalee Rosenblatt is the first Republican I have ever worked for in my life. She will bring back honor, intelligence, and integrity to the Maine House of Representatives. (Video)



Scarboro Dan

Personal Home Create



Harlan Baker Reb' Millett Jeremy Kennedy



Janel T. Mills Jean-Marie Caterina Patricia Washburn



Sarah Rawlings Kim Monaghan Drew Gattine



Watch together with friends or with a group Start

14 5 Comments 3 Shares

Like Comment Share

Rebecca Hauk Everybody write to him and ask him to resign. This is crazy!! Just a quick note...that's all. We need to do this for our town!

Like · Reply · 2h

Brian McLellan Please refresh my memory as to which 2015 scandal you are referring to. At times it seems like there is so many I get confused.

Like · Reply · 1h

Rebecca Hauk replied · 1 Reply · 54m

David Dolloff Keep it rolling Dan don't let up!!!

Like · Reply · 1h 2

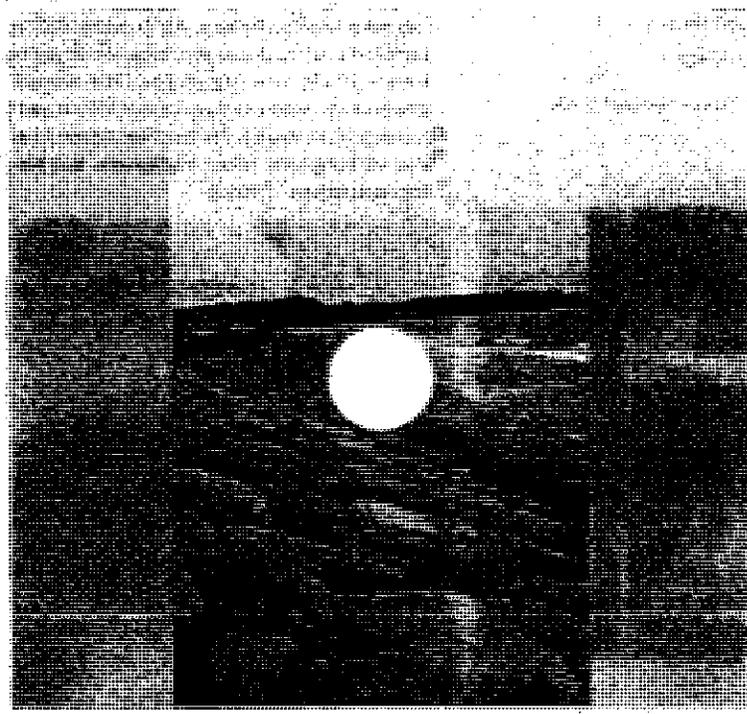
Kate StClair Scarborough resident JMC? They must have meant his partner in crime JMC..I now know why they were such good friends. They both are cut from the same cloth.

Like · Reply · 1h

Write a comment...

Scarboro Dan
23 hrs

Higgins Beach
Scarboro, Maine
Finding beauty amid the coronavirus (Video)



Scarboro Dan

 Rachel [Home](#) [Create](#)

95

12 Comments · 5 Shares

Like

Comment

Share

View 8 more comments



Marc Abramson I see the CE headlands in the background.

Like · Reply · 21h



Jim Dibble I can almost smell the salt water. I do miss that fragrance.

Like · Reply · 20h



Scarborough Beach What beach? At high tide none

Like · Reply · 15h



Rebecca Hawk My favorite beach!

Like · Reply · 5h



Write a comment...



Commission on Governmental Ethics and Election Practices
 Mail: 135 State House Station, Augusta, Maine 04333
 Office: 45 Memorial Circle, Augusta, Maine
 Website: www.maine.gov/ethics
 Phone: 207-287-4179
 Fax: 207-287-6775

2020 CAMPAIGN FINANCE REPORT
FOR PRIVATELY FINANCED CANDIDATES

COMMITTEE		TREASURER	
Ms. Annalee Z Rosenblatt 18 Tall Pines Road Scarborough, ME 04074 PHONE: (207) 415-1560 EMAIL: azr5209@aol.com		Matthew Rosenblatt 18 Tall Pines Road Scarborough, ME 04074 PHONE: EMAIL: roseypuck@yahoo.com	
REPORT	DUE DATE	REPORTING PERIOD	
11-Day Pre-Primary Report	07/06/2020	01/01/2020 - 06/30/2020	

FINANCIAL ACTIVITY SUMMARY

RECEIPTS	TOTAL FOR THIS PERIOD	TOTAL FOR CAMPAIGN
1. CASH CONTRIBUTIONS FOR THE PRIMARY ELECTION (SCHEDULE A)	\$2,100.00	\$2,100.00
1A. CASH CONTRIBUTIONS FOR THE GENERAL ELECTION (SCHEDULE A) <i>For party candidates, general election contributions will only appear on this line after the primary.</i>		
1B. CASH CONTRIBUTIONS FOR THE GENERAL ELECTION RAISED PRIOR TO PRIMARY (Not shown on Schedule A of this report)		
2. OTHER CASH RECEIPTS (INTEREST, ETC)	\$232.60	\$232.60
3. LOANS (SCHEDULE C)	\$1,000.00	\$1,000.00
4. TOTAL RECEIPTS	\$3,332.60	\$3,332.60
EXPENDITURES		
5. EXPENDITURES (SCHEDULE B)	\$1,673.30	\$1,673.30
6. LOAN REPAYMENTS (SCHEDULE C)	\$0.00	\$0.00
7. TOTAL PAYMENTS	\$1,673.30	\$1,673.30
CASH SUMMARY		
8. CASH BALANCE AT BEGINNING OF PERIOD	\$0.00	
9. PLUS TOTAL RECEIPTS THIS PERIOD (LINE 4)	\$3,332.60	
10. MINUS TOTAL PAYMENTS THIS PERIOD (LINE 7)	\$1,673.30	
11. CASH BALANCE AT END OF PERIOD	\$1,659.30	
OTHER ACTIVITY		
12. IN-KIND CONTRIBUTIONS (SCHEDULE A-1)	\$0.00	\$0.00
13. TOTAL LOAN BALANCE AT END OF PERIOD (SCHEDULE C)	\$1,000.00	
14. TOTAL UNPAID DEBTS AT END OF PERIOD (SCHEDULE D)	\$1,000.00	
FOR PARTY CANDIDATES ONLY: CASH CONTRIBUTIONS FOR THE GENERAL ELECTION RAISED PRIOR TO PRIMARY ELECTION (INCLUDED IN LINE 1A AFTER PRIMARY ELECTION)	\$400.00	\$400.00

I, Annalee Z. Rosenblatt, CERTIFY THAT THE INFORMATION CONTAINED IN THIS REPORT IS TRUE, ACCURATE, AND COMPLETE TO THE BEST OF MY KNOWLEDGE.

REPORT FILED BY: Annalee Z. Rosenblatt
 REPORT FILED ON: 7/6/2020 8:57:29 PM
 LAST MODIFIED: 7/6/2020 9:36:12 PM
 COMMITTEE ID: 363679

**SCHEDULE A
CASH CONTRIBUTIONS**

- For contributors who gave more than \$50, the names, address, occupation, and employer must be reported. If "information requested" is listed instead of occupation and employer, the candidate is waiting to receive that information.
- Cash contributions of \$50 or less can be added together and reported as a lump sum.
- Contributor Types:

1 = Individual

2 = Candidate/ Spouse/ Domestic Partner

3 = Commercial Source

4 = Nonprofit Organization

5 = Political Action Committee

6 = Political Party Committee

7 = Ballot Question Committee

8 = Other Candidate/ Candidate Committee

9 = Candidate / Candidate Committee

10 = General Treasury Transfer

11 = Transfer from Previous Campaign

12 = Contributors giving \$50 or less

13 = Contributors giving \$100 or less

14 = Contributors giving \$200 or less

15 = MCEA Payment

16 = Financial Institution

DATE RECEIVED	CONTRIBUTOR	EMPLOYER AND OCCUPATION	TYPE	ELECTION	AMOUNT
3/23/2020	Contributors giving \$50 or less		12	Primary	\$50.00
3/23/2020	Karen Vachon 25 OCEAN AVENUE SCARBOROUGH, ME, 04074	Self Insurance Industry	1	Primary	\$400.00
4/20/2020	Contributors giving \$50 or less		12	Primary	\$100.00
4/25/2020	Amy Volk 6 High Point Drive Saco, ME, 04072	Volk Packaging Manufacturing	1	Primary	\$400.00
4/25/2020	Amy Volk 6 High Point Drive Saco, ME, 04072	Volk Packaging Manufacturing	1	General	\$400.00
4/27/2020	Robert Luke, III 48 Kirkwood Road Scarborough, ME, 04074	None Retired	1	Primary	\$400.00
5/1/2020	Sylvia Most 16 WEST BEECH RIDGE ROAD SCARBOROUGH, ME, 04074	Windham School Department Teacher/Education	1	Primary	\$25.00
5/7/2020	Heather Sirocki 32 Glendale Circle Scarborough, ME, 04074	EMPLOYMENT INFO REQUESTED	1	Primary	\$400.00
5/8/2020	Contributors giving \$50 or less		12	Primary	\$50.00
5/9/2020	Thea Nelson 3 Schooner Road Scarborough, ME, 04074	None Homemaker	1	Primary	\$100.00

5

5/11/2020	Contributors giving \$50 or less		12	Primary	\$50.00
5/11/2020	Erica Pinkham 4 Audubon Scarborough, ME, 04074	None Retired	1	Primary	\$100.00
5/25/2020	Contributors giving \$50 or less		12	Primary	\$25.00
TOTAL CASH CONTRIBUTIONS FOR PRIMARY					\$2,100.00
TOTAL CASH CONTRIBUTIONS FOR GENERAL					\$400.00

**SCHEDULE B
EXPENDITURES**

EXPENDITURE TYPES

APP	Apparel (t-shirts, hats, embroidery, etc.)	CON	Contribution to party committee, non-profit, other candidate, etc.
EQP	Equipment of \$50 or more (computer, tablet, phone, furniture, etc.)	EVT	Campaign and fundraising events (venue or booth rental, entertainment, supplies, etc.)
FOD	Food for campaign events or volunteers, catering	HRD	Hardware and small tools (hammer, nails, lumber, paint, etc.)
LIT	Printed campaign materials (palmcards, signs, stickers, flyers, etc.)	MHS	Mail house and direct mail (design, printing, mailing, and postage all included)
NEW	Newspaper and print media ads only	OFF	Office supplies, rent, utilities, internet service, phone minutes and data
ONL	Social media and online advertising only	OTH	Other and fees (bank, contribution, and money order fees, etc.)
PER	Personnel and campaign staff, consulting, and independent contractor costs	PHO	Phones (phone banking, robocalls and texts)
POL	Polling and survey research	POS	Postage for U.S. Mail and mail box fees
PRO	Professional services (graphic design, legal services, web design, etc.)	RAD	Radio ads, production costs
TKT	Entrance cost to event (bean suppers, fairs, party events, etc.)	TRV	Travel (fuel, mileage, lodging, etc.)
TVN	TV/cable ads, production, and media buyer costs only	WEB	Website and internet costs (website domain and registration, etc.)

DATE OF EXPENDITURE	PAYEE	REMARK	TYPE	AMOUNT
3/23/2020	Staples 443 Western Avenue South Portland, ME, 04106	Envelopes	OFF	\$40.80
3/23/2020	US Postoffice 31 GORHAM ROAD SCARBOROUGH, ME, 04074	Letter from DW	POS	\$137.50
3/24/2020	US Postoffice 31 GORHAM ROAD SCARBOROUGH, ME, 04074	Mailers	POS	\$165.00
4/20/2020	US Postoffice 31 GORHAM ROAD SCARBOROUGH, ME, 04074	Mailers	POS	\$55.00
6/29/2020	Spectrum Marketing Companies 95 EDDY ROAD MANCHESTER, NH, 03102	Signs and wickets	LIT	\$1,275.00
TOTAL EXPENDITURES FOR CANDIDATE:				\$1,673.30

**SCHEDULE C
LOANS/LOAN PAYMENTS**

LOAN TRANSACTIONS FOR THIS REPORTING PERIOD						
Lender	Type	Original Loan Date	Original Loan Amount	Activity Date	Loan Activity	Activity Amount
Annalee Z. Rosenblatt	Candidate/ Spouse/ Domestic Partner	03/23/2020	\$1000.00	3/23/2020	Loan - Campaign Starter	\$1000.00
LOAN ACTIVITY FOR THIS CAMPAIGN						
Lender	Type	Original Loan Date	Original Loan Amount	Total Payment Amount	Total Forgiveness Amount	Loan Balance
Annalee Z. Rosenblatt	Candidate/ Spouse/ Domestic Partner	03/23/2020	\$1000.00	\$0.00	\$0.00	\$1000.00
TOTAL LOAN BALANCE AT END OF REPORTING PERIOD						\$1000.00

For immediate release
Contact Dan Warren 289-8216



Annalee Rosenblatt to run for Maine House District 29

SCARBOROUGH - Annalee Rosenblatt has entered the race to serve Maine's House District 29 - Coastal Scarborough. "It's time to bring strong leadership to Augusta and tackle the issues concerning the residents of Scarborough" said Rosenblatt. " Annalee appreciates the amenities Scarborough has to offer with its beaches, parks, and walking trails and wants to make sure the residents can continue to enjoy these amenities. Annalee (R) is challenging incumbent, Shawn Babine (D) who has served one term.

As a self-employed consultant to governmental agencies for more than thirty years, Rosenblatt has an extensive background in public service at the town, state and national level.

She has served two terms on the Scarborough Board of Education, including one year as chair of the board, chair of the policy committee, and a member of negotiations and finance committee. She is the founding member of the Scarborough Special Education Parent Association, and chair of the most recent Charter Review Commissioner (she's also been the vice-chair of previous Charter Review Commissions).

Active in the business community – Annalee serves on the Scarborough Community Chamber of Commerce; and is also a member of Scarborough Buy Local. She is active in Scarborough Kiwanis, a former Girl Scout Leader, past Junior Achiever instructor for both Scarborough and South Portland middle schools, and an active supporter of the school back pack program, Scarborough Food Pantry, Scarborough Education Foundation, Scarborough Public Library, Friends of Scarborough March, Special Olympics, and Tri-For Cure.

On the state and national level, Annalee has assumed leadership roles with Mainely Character, Maine State Civil Service Employees Appeals Board, Cumberland County Selective Service Board; the American Business Women's Association, National Public Employers Labor Relations Association. "I believe my background, leadership and experience in negotiations is badly needed in today's political landscape."

Annalee has lived in Scarborough almost 39 years with her husband/partner, Eric, of 45 years. They have two adult children who graduated from Scarborough High School.

Janet Devou

From: Dan Warren <jonesandwarren@gmail.com>
Sent: Saturday, May 9, 2020 4:09 PM
To: Janet Devou
Subject: Print Fwd: Confidential. Annalee campaign

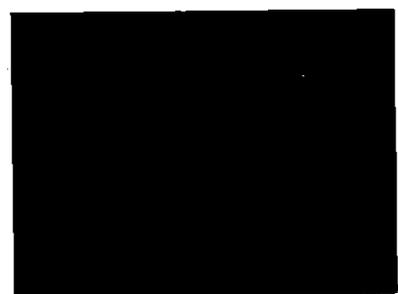
Begin forwarded message:

From: Dan Warren <jonesandwarren@gmail.com>
Date: April 25, 2020 at 3:24:43 PM EDT
[REDACTED]
Subject: Confidential. Annalee campaign

[REDACTED]
I have just informed Annalee Rosenblatt. that I am suspending my efforts with her campaign. I have told her that President Trump's performance at the daily briefings on the coronavirus crisis have made him so wildly unpopular with Democrats that I don't think I can get her any Democratic votes in November. Things have changed tremendously in this race since February – due to the coronavirus crisis. I told Annalee I would be focusing my efforts in the next week at finding a Democratic party write in candidate for the July primary.



STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135



August 5, 2020

By Email and Regular Mail

Daniel R. Warren, Esq.
Jones & Warren, P.A.
243 U.S. Route One
Scarborough, ME 04074

Dear Mr. Warren

The Maine Commission on Governmental Ethics and Election Practices has received a request for an investigation concerning an April 27, 2020 mailing from you opposing State Representative Shawn Babine's re-election. The Commission is being asked to consider whether Annalee Rosenblatt's spending on the mailing constitutes a legal violation because your April 27 letter did not state who financed the mailing and whether Ms. Rosenblatt, or her political committee, authorized the mailing. I have enclosed the request for investigation and correspondence to Ms. Rosenblatt that describes the alleged violation in greater detail.

The Commission will consider this matter at a public meeting to be held by Zoom videoconference on September 30, 2020, beginning at 9:00 a.m. I expect that the Commission will consider whether the mailing violated 21-A M.R.S. § 1014(1) and, if so, whether to assess a civil penalty. Although the request for investigation is primarily directed toward Ms. Rosenblatt, the members of the Commission may wish to consider whether you shared any responsibility for any legal violation that they find. At the time of the mailing, you were on record with this office as serving as her campaign treasurer and may be considered part of the political committee promoting her election.

Request for response. You are invited to respond to the potential finding of violation and penalty. In particular, the Commission would appreciate your explanation of the full costs of the mailing and who was responsible for its content. Please submit a written response to the enclosed request for investigation no later than Wednesday, August 26, 2020. The Commission staff recommends including the following information:

- Please provide the details of all payments for the mailing of which you are aware (the date, payor, payee, and amount).
- Who was responsible for the content of the mailing?

You are also welcome to address the issue of whether the letter expressly advocates Rep. Babine's defeat.

Daniel R. Warren, Esq.

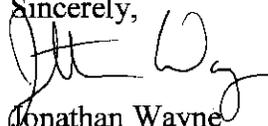
Page 2

August 5, 2020

After reading your and Ms. Rosenblatt's written responses, the Commission may request that you participate in the September 30 meeting by Zoom videoconference to respond to the request for investigation.

Thank you for your cooperation with this request. Please email me at Jonathan.Wayne@maine.gov or call me at 287-4179 if you have any questions.

Sincerely,


Jonathan Wayne
Executive Director

Attachments

cc: Annalee Z. Rosenblatt
Rachel Hendrickson

QW
13

DANIEL R. WAKELIN
CHECK BOOK REGISTER

T.C.F.C.V.

SCARBOROUGH H

5-2-20 - CHECK NO. 83611 FOR
R 550.00 TO POST OFFICE

5-2 USPC

550 3450





STATE OF MAINE
COMMISSION ON GOVERNMENTAL ETHICS
AND ELECTION PRACTICES
135 STATE HOUSE STATION
AUGUSTA, MAINE
04333-0135

COPY



August 5, 2020

By Email and Regular Mail

Annalee Z. Rosenblatt
18 Tall Pines Road
Scarborough, ME 04074

Dear Ms. Rosenblatt:

The Maine Commission on Governmental Ethics and Election Practices received the attached request for an investigation from Rachel Hendrickson, Chair of the Scarborough Democratic Town Committee, in connection with an apparent April 27, 2020 mailing by your former campaign treasurer, Dan Warren. The letter is addressed to Democratic residents in House District 29 and invites them to consider running as a write-in candidate in the July 14, 2020 Democratic primary election against the incumbent State Representative Shawn Babine. In the letter, Mr. Warren explains why he believes Rep. Babine is unfit to hold office and why he is working against Rep. Babine's re-election.

In your July 6, 2020 campaign finance report, you reported paying \$137.50 on March 23, 2020 for postage with a remark of "Letter from DW," along with three other expenses in March-April 2020 that appear to be related to mailing campaign literature. Ms. Hendrickson asks the Commission to consider whether your spending on the April 27 mailing constitutes a legal violation because Mr. Warren's letter did not state who financed the mailing and whether you authorized it. The Commission is required to consider Ms. Hendrickson's request at a public meeting. I have tentatively scheduled her request for consideration at the Commission's meeting on September 30, 2020 (more details concerning the meeting are on page 3).

Relevant Law

Disclosure statements in paid communications. If a person makes an expenditure for a paid communication that expressly advocates the election or defeat of a clearly identified candidate and the communication is authorized by a candidate or a candidate's authorized political committee (or their agents), the communication "must clearly and conspicuously state that the communication has been so authorized and must clearly state the name and address of the person who made or financed the expenditure for the communication."

21-A M.R.S. § 1014(1). Likewise, if a person pays for an express advocacy communication that is *not* authorized by any candidate or any candidate's political committee, the communication must also state who financed the expenditure and contain a specific disclosure statement ("NOT PAID FOR OR AUTHORIZED BY ANY CANDIDATE"). 21-A M.R.S. § 1014(2).¹ A violation of these requirements may result in a civil penalty of no more than 100% of the amount of the expenditure for the communication. 21-A M.R.S. § 1014(4).

Express Advocacy. The disclosure requirements set out in the previous paragraph apply only if the communication expressly advocates for the election or defeat of a candidate. The Commission's rules define express advocacy as "any communication that"

- (1) uses phrases such as "vote for the Governor," "reelect your Representative," "support the Democratic nominee," "cast your ballot for the Republican challenger for Senate District 1," "Jones for House of Representatives," "Jean Smith in 2002," "vote Pro-Life" or "vote Pro-Choice" accompanied by a listing of clearly identified candidates described as Pro-Life or Pro-Choice, "vote against Old Woody," "defeat" accompanied by a picture of one or more candidate(s), "reject the incumbent," or communications of campaign slogan(s) or individual word(s), which in context can have no other reasonable meaning than to urge the election or defeat of one or more clearly identified candidate(s), such as posters, bumper stickers, advertisements, etc. which say "Pick Berry," "Harris in 2000," "Murphy/Stevens" or "Canavan!"; or

¹ Similar disclosures are required for paid communications that mention a specific candidate but do not include express advocacy, if the communication is disseminated in the last 21 days before a primary election or after Labor Day for a general election. 21-A M.R.S. § 1014(2-A).

(2) is susceptible of no reasonable interpretation other than as an appeal to vote for or against a clearly identified candidate.

94-270 C.M.R. ch. 1, § 10(2)(B).

Request for Response

At the meeting on September 30, 2020, the members of the Commission will consider whether you and/or Mr. Warren violated 21-A M.R.S. § 1014(1) by not including in the April 27 mailing a disclosure statement confirming that you had financed the communication and whether you, or your political committee, had authorized the letter. If the Commission finds that the expenditure constituted a violation, it may wish to consider whether to assess a civil penalty. The meeting will begin at 9:00 a.m. and will be conducted by Zoom videoconference.

Please submit a written response to Ms. Hendrickson's letter by Wednesday, August 26, 2020. You are welcome to include any information you believe the Commission ought to know concerning this matter. In your response, the Commission staff recommends including the following information:

- Please provide the details of all payments for the mailing of which you are aware (the date, payor, payee, and amount).
- Were you aware of the letter before it was sent? If you were, did you authorize the mailing before it was sent?
- Please confirm when Mr. Warren stopped serving as your campaign treasurer.

If you would like, you are welcome to address the issue of whether the letter expressly advocates Rep. Babine's defeat, which the Commissioners may also discuss at the September 30, 2020 meeting.

The Commission staff also recommends that you participate in the September 30 meeting by Zoom videoconference to respond to the allegation that you violated 21-A M.R.S. § 1014(1).

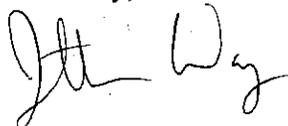
Annalee Z. Rosenblatt
Page 4
August 5, 2020

Responsibility by Dan Warren

At this time, the Commission and its staff have no knowledge of who had control over the content of the April 27 mailing and who directly made the expenditures for the mailing. Although Ms. Hendrickson's letter is directed toward you only, at their September 30 meeting the members of the Commission may wish to consider whether Mr. Warren shared in the responsibility for any legal violation. Accordingly, I will be writing Mr. Warren separately to notify him of this matter and to invite him to respond.

Thank you for your cooperation with this request. Please email me at Jonathan.Wayne@maine.gov or call me at 287-4179 if you have any questions.

Sincerely,



Jonathan Wayne
Executive Director

Attachment

cc: By Email
Daniel R. Warren, Esq.
Rachel Hendrickson



Received
 MAR 04 2020
 Maine Ethics Commission

35 State House Station, Augusta, Maine 04333
 Office: 45 Memorial Circle, Augusta, Maine

Website: www.maine.gov/ethics
 Phone: 207-287-4179
 Fax: 207-287-6775

2020 CANDIDATE REGISTRATION

Notice: Changes to registration information must be filed within 10 days in writing or by e-mail to the Commission.

Is this an amendment? Yes No

1. CANDIDATE INFORMATION			
Are you running as a (check one): <input checked="" type="checkbox"/> Maine Clean Election Act candidate <input type="checkbox"/> traditionally financed candidate			
Title <input checked="" type="checkbox"/> Ms. <input type="checkbox"/> Mrs. <input type="checkbox"/> Mr. <input type="checkbox"/> Dr. <input type="checkbox"/> Honorable		Party affiliation <i>R</i>	Office sought & District # <i>29 House</i>
Name: First <i>Annalee</i> MI or Middle Name <i>Rosenblatt</i> Last		County (for candidates for county office)	
Mailing address <i>18 Tall Pines Rd</i>			Phone <i>207-883-9025</i>
City <i>Scarborough</i>		Zip code <i>04074</i>	Alternate Phone <i>207-415-1560</i>
E-mail (required) <i>AZR5209@AOL.COM</i>			Fax <i>207-883-2257</i>

2. TREASURER INFORMATION			
Name: First <i>Matthew</i> MI or Middle Name <i>L</i> Last <i>Rosenblatt</i>		Phone <i>207-976-8592</i>	
Mailing address <i>18 Tall Pines Rd</i>			Fax <i>207-883-2257</i>
City <i>Scarborough</i>		Zip code <i>04074</i>	E-mail (required) <i>Roseypuck@alpheo.com</i>

DESIGNATION OF TREASURER: A candidate for office must appoint a treasurer no later than 10 days after becoming a candidate, and before accepting contributions, making expenditures or incurring obligations. No later than 10 days after appointing a treasurer, the candidate must register with the Commission the name and address of the candidate and treasurer. The treasurer is responsible for maintaining campaign records and for filing reports. A MCEA candidate may serve as treasurer for no more than 14 days following the date of registration. (21-A MRSA §§ 1013-A and 1125(12-A))

2A. DEPUTY TREASURER INFORMATION (optional)			
Name: First MI or Middle Name Last		Phone	
Mailing address			Fax
City		Zip code	E-mail (required)

DESIGNATION OF DEPUTY TREASURER (optional): The candidate may appoint a deputy treasurer and notify the Commission no later than 10 days after the appointment. The deputy, when acting in the absence of the treasurer, has the same powers and responsibilities as the treasurer. A MCEA candidate may serve as deputy treasurer for no more than 14 days following the date of registration. (21-A MRSA §§ 1013-A and 1125(12-A)) (21-A MRSA § 1013-A (1)(A)(1))

3. AUTHORIZED AGENT INFORMATION (optional)		
Name	Phone	Email (required)
Name	Phone	Email (required)

DESIGNATION OF AUTHORIZED AGENT (optional): Please use this section to designate individuals, other than the treasurer and deputy treasurer, authorized to file reports on your behalf.

4. POLITICAL COMMITTEE INFORMATION (optional)		
Name	Phone	
Address of campaign headquarters	City	Zip code

DESIGNATION OF POLITICAL COMMITTEE (optional): The candidate may form a political or campaign committee. Within 10 days of forming the committee and before accepting contributions, making expenditures or incurring obligations, the candidate must:

- appoint a treasurer (the candidate may have only one treasurer who is listed in Section 2) and
- register the committee and its officers, if any are appointed, with the Commission. (21-A MRSA § 1013-A (1) (B))

Committee Officers (use additional pages, if necessary):

Name	Title	Phone
Mailing address	City	Zip code
		E-mail

Name	Title	Phone
Mailing address	City	Zip code
		E-mail

5. CERTIFICATION	
I, <u>Annette Rosenblatt</u> , certify that the information in this registration is true, accurate and complete. <small>(Print Candidate's Full Name)</small>	
Signature of Candidate <u>Annette Rosenblatt</u>	Date <u>3-6-20</u>

6. REPORTING EXEMPTION REQUEST	
<p>Only county and municipal candidates and legislative candidates in an uncontested primary election may request an exemption.</p> <p>A candidate may request an exemption from the obligation to appoint a treasurer and file campaign finance reports if the candidate does not accept any cash or in-kind contributions or make any expenditures for his or her campaign. You cannot request a reporting exemption if you use your or your spouse's/domestic partner's personal funds to pay for your campaign expenses. To request an exemption, complete the statement below and sections 1 & 5, have the form notarized, and submit it to the Commission.</p> <p>STATEMENT OF ELIGIBILITY FOR A REPORTING EXEMPTION: I, the undersigned, swear or affirm that I will not accept contributions, make expenditures or incur obligations associated with my candidacy.</p>	
Signature of candidate _____	Date _____
Subscribed and sworn (affirmed) to before me this _____ day of _____, 20____.	
Signature of Notary/Attorney-at-law _____ <small>(Seal is optional)</small>	My commission expires _____ <small>(Date)</small>
<p>REVOCATION NOTICE: The foregoing statement may be revoked. Prior to revocation, the candidate must appoint a treasurer. A revocation notice must be in the form of an amended registration which must be filed with the Commission no later than 10 days after the date the treasurer is appointed. The notice must be filed before contributions are accepted or expenditures made. A late revocation notice is subject to the same penalties applicable to late campaign finance reports.</p>	

2020 Election Year



COMMISSION ON GOVERNMENTAL ETHICS AND ELECTION PRACTICE
Mail: 135 State House Station, Augusta, Maine 04333
Office: 45 Memorial Circle, Augusta, Maine

Website: www.maine.gov/ethics
Phone: 207-287-4178
Fax: 207-287-6778

2020 CANDIDATE REGISTRATION

Notice: Changes to registration information must be filed within 10 days in writing or by e-mail to the Commission.

Is this an amendment? Yes No

1. CANDIDATE INFORMATION			
Are you running as a (check one): <input type="checkbox"/> Maine Clean Election Act candidate <input checked="" type="checkbox"/> traditionally financed candidate			
Title <input checked="" type="checkbox"/> Ms. <input type="checkbox"/> Mrs. <input type="checkbox"/> Mr. <input type="checkbox"/> Dr. <input type="checkbox"/> Honorable	Party affiliation Republican		Office sought & District # House Dist #29
Name: First Annalee	MI or Middle Name Z	Last Rosenblatt	County (for candidates for county office)
Mailing address 18 Tall Pines Rd			Phone 883-9025 hm
City Scarborough	Zip code 04074	Alternate Phone 415-1560 (G)	
E-mail (required) AZR5209@Aol.com			Fax 883-2257

2. TREASURER INFORMATION			
Name: First Hon Dan	MI or Middle Name	Last Warren	Phone 207-289-8216
Mailing address PO Box 1			Fax
City Scarborough	Zip code 04076-0001	E-mail (required) harvey@1975Harvey@gmail.com	

DESIGNATION OF TREASURER: A candidate for office must appoint a treasurer no later than 10 days after becoming a candidate, and before accepting contributions, making expenditures or incurring obligations. No later than 10 days after appointing a treasurer, the candidate must register with the Commission the name and address of the candidate and treasurer. The treasurer is responsible for maintaining campaign records and for filing reports. A MCEA candidate may serve as treasurer for no more than 14 days following the date of registration. (21-A MRSA §§ 1013-A and 1125(12-A))

2A. DEPUTY TREASURER INFORMATION (optional)			
Name: First	MI or Middle Name	Last	Phone
Mailing address			Fax
City	Zip code	E-mail (required)	

DESIGNATION OF DEPUTY TREASURER (optional): The candidate may appoint a deputy treasurer and notify the Commission no later than 10 days after the appointment. The deputy, when acting in the absence of the treasurer, has the same powers and responsibilities as the treasurer. A MCEA candidate may serve as deputy treasurer for no more than 14 days following the date of registration. (21-A MRSA §§ 1013-A and 1125(12-A)) (21-A MRSA § 1013-A (1)(A)(1))

3. AUTHORIZED AGENT INFORMATION (optional)

Name	Phone	Email (required)
Name	Phone	Email (required)

DESIGNATION OF AUTHORIZED AGENT (optional): Please use this section to designate individuals, other than the treasurer and deputy treasurer, authorized to file reports on your behalf.

4. POLITICAL COMMITTEE INFORMATION (optional)

Name	Phone
Address of campaign headquarters	City Zip code

DESIGNATION OF POLITICAL COMMITTEE (optional): The candidate may form a political or campaign committee. Within 10 days of forming the committee and before accepting contributions, making expenditures or incurring obligations, the candidate must:

- appoint a treasurer (the candidate may have only one treasurer who is listed in Section 2) and
- register the committee and its officers, if any are appointed, with the Commission. (21-A MRSA § 1013-A (1) (B))

Committee Officers (use additional pages, if necessary):

Name	Title	Phone
Mailing address	City Zip code	E-mail

Name	Title	Phone
Mailing address	City Zip code	E-mail

5. CERTIFICATION

I, Annaloe Rosenblatt, certify that the information in this registration is true, accurate and complete.
(Print Candidate's Full Name)

Signature of Candidate Annaloe Rosenblatt Date 3-11-2020

6. REPORTING EXEMPTION REQUEST

Only county and municipal candidates and legislative candidates in an uncontested primary election may request an exemption.

A candidate may request an exemption from the obligation to appoint a treasurer and file campaign finance reports if the candidate does not accept any cash or in-kind contributions or make any expenditures for his or her campaign. You cannot request a reporting exemption if you use your or your spouse's/domestic partner's personal funds to pay for your campaign expenses. To request an exemption, complete the statement below and sections 1 & 5, have the form notarized, and submit it to the Commission.

STATEMENT OF ELIGIBILITY FOR A REPORTING EXEMPTION: I, the undersigned, swear or affirm that I will not accept contributions, make expenditures or incur obligations associated with my candidacy.

Signature of candidate _____ Date _____

Subscribed and sworn (affirmed) to before me this _____ day of _____, 20____.

Signature of Notary/Attorney-at-law _____ My commission expires _____
(Seal is optional) (Date)

REVOCAION NOTICE: The foregoing statement may be revoked. Prior to revocation, the candidate must appoint a treasurer. A revocation notice must be in the form of an amended registration which must be filed with the Commission no later than 10 days after the date the treasurer is appointed. The notice must be filed before contributions are accepted or expenditures made. A late revocation notice is subject to the same penalties applicable to late campaign finance reports.

21-A M.R.S. § 1014

Current with the Second Regular Session of the 129th Maine Legislature.

§ 1014. Publication or distribution of political communications

1. Authorized by candidate. Whenever a person makes an expenditure to finance a communication expressly advocating the election or defeat of a clearly identified candidate through broadcasting stations, cable television systems, newspapers, magazines, campaign signs or other outdoor advertising facilities, publicly accessible sites on the Internet, direct mails or other similar types of general public political advertising or through flyers, handbills, bumper stickers and other nonperiodical publications, the communication, if authorized by a candidate, a candidate's authorized political committee or their agents, must clearly and conspicuously state that the communication has been so authorized and must clearly state the name and address of the person who made or financed the expenditure for the communication. A communication financed by a candidate or the candidate's committee is not required to state the address of the candidate or committee that financed the communication. If a communication that is financed by someone other than the candidate or the candidate's authorized committee is broadcast by radio, only the city and state of the address of the person who financed the communication must be stated.

2. Not authorized by candidate. If the communication described in subsection 1 is not authorized by a candidate, a candidate's authorized political committee or their agents, the communication must clearly and conspicuously state that the communication is not authorized by any candidate and state the name and address of the person who made or financed the expenditure for the communication, except that a communication broadcast by radio is only required to state the city and state of the address of the person that financed the communication. If the communication is in written form, the communication must contain at the bottom of the communication in print that is no smaller in size than 12-point bold print, Times New Roman font, the words "NOT PAID FOR OR AUTHORIZED BY ANY CANDIDATE."

2-A. Other communications. Whenever a person makes an expenditure to finance a communication that names or depicts a clearly identified candidate and that is disseminated during the 28 days, including election day, before a primary election, during the 35 days, including election day, before a special election or during the period of time from Labor Day to the election day for a general election through the media described in subsection 1, the communication must state the name and address of the person who made or financed the communication and a statement that the communication was or was not authorized by the candidate, except that a communication broadcast by radio is only required to state the city and state of the address of the person that financed the communication. The disclosure is not required if the communication was not made for the purpose of influencing the candidate's nomination for election or election.

2-B. Top 3 funders; independent expenditures. A communication that is funded by an entity making an independent expenditure as defined in section 1019-B, subsection 1 must conspicuously include the following statement:

“The top 3 funders of (name of entity that made the independent expenditure) are (names of top 3 funders).”

The information required by this subsection may appear simultaneously with any statement required by subsection 2 or 2-A. A communication that contains a visual aspect must include the statement in written text. A communication that does not contain a visual aspect must include an audible statement. This statement is required only for communications made through broadcast or cable television, broadcast radio, Internet audio programming, direct mail or newspaper or other periodical publications.

A cable television or broadcast television communication must include both an audible and a written statement. For a cable television or broadcast television communication 30 seconds or less in duration, the audible statement may be modified to include only the single top funder.

The top funders named in the required statement consist of the funders providing the highest dollar amount of funding to the entity making the independent expenditure since the day following the most recent general election day.

A. For purposes of this subsection, “funder” includes:

(1). Any entity that has made a contribution as defined in section 1052, subsection 3 to the entity making the independent expenditure since the day following the most recent general election day; and

(2) Any entity that has given a gift, subscription, loan, advance or deposit of money or anything of value, including a promise or agreement to provide money or anything of value whether or not legally enforceable, except for transactions in which a fair value is given in return, since the day following the most recent general election day.

B. If funders have given equal amounts, creating a tie in the ranking of the top 3 funders, the tie must be broken by naming the tying funders in chronological order of the receipt of funding until 3 funders are included in the statement. If the chronological order cannot be discerned, the entity making the independent expenditure may choose which of the tying funders to include in the statement. In no case may a communication be required to include the names of more than 3 funders.

C. The statement required under this subsection is not required to include the name of any funder who has provided less than \$1,000 to the entity making the independent expenditure since the day following the most recent general election day.

D. If only one or 2 funders must be included pursuant to this subsection, the communication must identify the number of funders as "top funder" or "top 2 funders" as appropriate. If there are no funders required to be included under this subsection, no statement is required.

E. When compiling the list of top funders, an entity making an independent expenditure may disregard any funds that the entity can show were used for purposes unrelated to the candidate mentioned in the communication on the basis that funds were either spent in the order received or were strictly segregated in other accounts.

F. In any communication consisting of an audio broadcast of 30 seconds or less or a print communication of 20 square inches or less, the requirements of this subsection are satisfied by including the name of the single highest funder only.

G. If the list of funders changes during the period in which a recurring communication is aired or published, the statement appearing in the communication must be updated at the time that any additional payments are made for that communication.

H. The commission may establish by routine technical rule, adopted in accordance with Title 5, chapter 375, subchapter 2-A, forms and procedures for ensuring compliance with this subsection. Rules adopted pursuant to this paragraph must ensure that the information required by this subsection is effectively conveyed for a sufficient duration and in a sufficient font size or screen size where applicable without undue burden on the ability of the entity to make the communication. The rules must also provide an exemption for types of communications for which the required statement would be impossible or impose an unusual hardship due to the unique format or medium of the communication.

3. Broadcasting prohibited without disclosure. No person operating a broadcasting station or cable television system within this State may broadcast any communication, as described in subsections 1 to 2-A, without an oral or written visual announcement of the disclosure required by this section.

3-A. In-kind contributions of printed materials. A candidate, political committee or political action committee shall report on the campaign finance report as a contribution to the candidate, political committee or political action committee any contributions of in-kind printed materials to be used in the support of a candidate or in the support or defeat of a ballot question. Any in-kind contributions of printed materials used or distributed by a candidate, political committee or political action committee must include the name or title of that candidate, political committee or political action committee as the authorizing agent for the printing and distribution of the in-kind contribution.

3-B. Newspapers. A newspaper may not publish a communication described in subsections 1 to 2-A without including the disclosure required by this section. For purposes of this subsection, “newspaper” includes any printed material intended for general circulation or to be read by the general public, including a version of the newspaper displayed on a website owned or operated by the newspaper. When necessary, a newspaper may seek the advice of the commission regarding whether or not the communication requires the disclosure.

4. Enforcement. A violation of this section may result in a civil penalty of no more than 100% of the amount of the expenditure in violation, except that an expenditure for yard signs lacking the required information may result in a maximum civil penalty of \$ 200. In assessing a civil penalty, the commission shall consider, among other things, how widely the communication was disseminated, whether the violation was intentional, whether the violation occurred as the result of an error by a printer or other paid vendor and whether the communication conceals or misrepresents the identity of the person who financed it. If the person who financed the communication or who committed the violation corrects the violation within 10 days after receiving notification of the violation from the commission by adding the missing information to the communication, the commission may decide to assess no civil penalty.

5. Telephone calls. Prerecorded automated telephone calls and scripted live telephone communications that name a clearly identified candidate during the 28 days, including election day, before a primary election, during the 35 days, including election day, before a special election or during the period of time from Labor Day to the general election day for a general election must clearly state the name of the person who made or financed the expenditure for the communication

and whether the communication was authorized by a candidate, except for prerecorded automated telephone calls paid for by the candidate that use the candidate's voice in the telephone call and that are made in support of that candidate. Telephone surveys that meet generally accepted standards for polling research and that are not conducted for the purpose of influencing the voting position of call recipients are not required to include the disclosure.

6. Exclusions. The requirements of this section do not apply to:

A. Handbills or other literature produced and distributed at a cost not exceeding \$ 100 and prepared by one or more individuals who are not required to register or file campaign finance reports with the commission and who are acting independently of and without authorization by a candidate, candidate's authorized campaign committee, party committee, political action committee or ballot question committee or an agent of a candidate, candidate's authorized campaign committee, party committee, political action committee or ballot question committee;

B. Campaign signs produced and distributed at a cost not exceeding \$ 100, paid for by one or more individuals who are not required to register or file campaign finance reports with the commission and who are acting independently of and without authorization by a candidate, candidate's authorized campaign committee, party committee, political action committee or ballot question committee or an agent of a candidate, candidate's authorized campaign committee, party committee, political action committee or ballot question committee;

C. Internet and e-mail activities costing less than \$ 100, as excluded by rule of the commission, paid for by one or more individuals who are not required to register or file campaign finance reports with the commission and who are acting independently of and without authorization by a candidate, candidate's authorized campaign committee, party committee, political action committee or ballot question committee or an agent of a candidate, candidate's authorized campaign committee, party committee, political action committee or ballot question committee;

D. Communications in which the name or address of the person who made or authorized the expenditure for the communication would be so small as to be illegible or infeasible, including communications on items such as ashtrays, badges and badge holders, balloons, campaign buttons, clothing, coasters, combs, emery boards, envelopes, erasers, glasses, key rings, letter openers, matchbooks, nail files, noisemakers, paper and plastic cups, pencils, pens, plastic tableware, 12-inch or shorter rulers, swizzle sticks, tickets to fund-raisers and similar items determined by the commission to be too small and unnecessary for the disclosures required by this section and in electronic media advertisements where compliance with this section would be impractical due to size or character limitations; and

E. Campaign signs that are financed by the candidate or candidate's authorized committee and that clearly identify the name of the candidate and are lettered or printed individually by hand.

CMR 94-270-001

This document reflects changes current through August 4, 2020

94 270 001. PROCEDURES

...

SECTION 10. REPORTS OF INDEPENDENT EXPENDITURES

...

2. Definitions. For purposes of this section, the following phrases are defined as follows:

A. "Clearly identified," with respect to a candidate, has the same meaning as in Title 21-A, chapter 13, subchapter II.

B. "Expressly advocate" means any communication that

(1) uses phrases such as "vote for the Governor," "reelect your Representative," "support the Democratic nominee," "cast your ballot for the Republican challenger for Senate District 1," "Jones for House of Representatives," "Jean Smith in 2002," "vote Pro-Life" or "vote Pro-Choice" accompanied by a listing of clearly identified candidates described as Pro-Life or Pro-Choice, "vote against Old Woody," "defeat" accompanied by a picture of one or more candidate(s), "reject the incumbent," or communications of campaign slogan(s) or individual word(s), which in context can have no other reasonable meaning than to urge the election or defeat of one or more clearly identified candidate(s), such as posters, bumper stickers, advertisements, etc. which say "Pick Berry," "Harris in 2000," "Murphy/Stevens" or "Canavan!"; or

(2) is susceptible of no reasonable interpretation other than as an appeal to vote for or against a clearly identified candidate.

...